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V WOODWARD-CLYDE CONSULTANTS VDACW31-80-C-0018

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A National Din Institution DELAWARE RIVER BASIN AUBURN DAM, SCHUYLKILL COUNTY PENNSYLVANIA NDS I D! NO. PA 00670; DER I D! NO. 54-163) Nath PHASE I INSPECTION REPORT. DAM INSPECTION PROCESM DHON31-89-0-0018 D jun 20/ Prepared by: WOODWARD-CLYDE CONSULTANTS 5120 Butler Pike Plymouth Meeting, Pennsylvania 19462 Submitted to: DEPARTMENT OF THE ARMY Baltimore District, Corps of Engineers Baltimore, Maryland 21203 This document has been and distribution is unfinited This document has JUNE 1980 3441511

### PREPACE

This report is prepared under guidance contained in the Recommended Guidelines for Safety Inspection of Dams for Phase I Investigations. Copies of these guidelines may be obtained from the Office of the Chief of Engineers, Washington, D.C. 20314. The purpose of a Phase I investigation is to expeditiously identify those dams which may pose hazards to human life or property. The assessment of the general condition of the dam is based upon available data and visual inspections. Detailed investigations, testing and detailed computational evaluations are beyond the scope of a Phase I investigation; however, the investigation is intended to identify the need for more detailed studies.

In reviewing this report, it should be realized that the reported condition of the dam is based on observations of field conditions at the time of inspection along with data available to the inspection team. In cases where the reservoir was lowered or drained prior to inspection, such action, while improving the stability and safety of the dam, removes the normal load on the structure and may obscure certain conditions which might otherwise be detectable if inspected under the normal operating environment of the structure.

It is important to note that the condition of a dam depends on numerous and constantly changing internal and external conditions, and is evolutionary in nature. It would be incorrect to assume that the present condition of the dam will continue to represent the condition of the dam at some point in the future. Only through frequent inspections can unsafe conditions be detected, and only through continued care and maintenance can these conditions be prevented or corrected.

Phase I inspections are not intended to provide detailed hydrologic and hydraulic analyses. In accordance with the established Guidelines, the spillway design flood is based on the estimated "Probable Maximum Flood" for the region (greatest reasonably possible storm runoff), or fractions thereof. The spillway design flood provides a measure of relative spillway capacity and serves as an aid in determining the need for more detailed hydrologic and hydraulic studies, considering the size of the dam, its general condition and the downstream damage potential.

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### PHASE I INSPECTION REPORT NATIONAL DAM INSPECTION PROGRAM

Name of Dam: County Located: State Located: Stream: Coordinates: Auburn Dam Schuylkill County Pennsylvania Schuylkill River Latitude 40° 36.5' Longitude 76° 6.4'

Date of Inspection: May 1, 1980

Auburn Dam is owned by the state of Pennsylvania under the jurisdiction of the Department of Environmental Resources, Office of Resource Management. The dam, built under Pennsylvania Act 441, entitled "Schuylkill River Act", was completed in October 1950. Visual inspection of the exposed sections of the dam and review of the limited available data and simplified calculations presented in Appendices D and G indicate that Auburn Dam is in good condition. It is noted that the entire spillway and apron were submerged and could not be inspected. Therefore, a complete visual assessment of the structure could not be performed.

In accordance with criteria established by Federal (OCE) Guidelines, the recommended spillway design flood for this "Intermediate" size dam and "High" hazard potential classification is the Probable Maximum Flood (PMF).

Calculations presented in Appendix D indicate that the structure will pass about 89 percent of the PMF without overtopping the embankment. Therefore, the spillway system for this structure is considered to be "Inadequate" but not "Seriously Inadequate" a

It is recommended that the following items of routine maintenance and surveillance be undertaken as soon as practical.

- (!) The remaining trees and brush on the left earth embankment section should be removed.
- (2) Damaged pilasters should be repaired to prevent loss of support to the hand railing on the top of the dam.

### AUBURN DAM, NDS I.D. No. PA 00670

- Surficial joint deterioration of the right nonoverflow section should be periodically inspected. If deterioration of these zones becomes excessive, they should be cleaned and patched.
- Seepage through the right non-overflow section should also be periodically monitored and evaluated. Repairs to deteriorated joints caused by seepage would include sealing of the structure/joint from the upstream side of the dam.

An operation and maintenance manual for small dams has been prepared by the Department of Environmental Resources, Division of Completed Projects, Bureau of Operations. Portions of the manual apply to this structure. It is important that persons concerned with the structure are familiar with the procedures contained in the manual. Since there are no formal warning procedures for this structure, one should be developed to warn downstream residents of impending high flows.

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3/ July /180

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APPROVED BY:



OVERVIEW AUBURN DAM, SCHUYLKILL COUNTY, PENNSYLVANIA

### TABLE OF CONTENTS

	PAGE
Preface Assessment and Recommendations Overview Photograph	i ii iv
SECTION 1 - PROJECT INFORMATION  1.1 General 1.2 Description of Project 1.3 Pertinent Data	1 1 4
SECTION 2 - ENGINEERING DATA 2.1 Design 2.2 Construction 2.3 Operational Data 2.4 Evaluation	6 6 6
SECTION 3 - VISUAL INSPECTION 3.1 Findings 3.2 Evaluation	7 9
SECTION 4 - OPERATIONAL PROCEDURES 4.1 Procedures 4.2 Maintenance of the Dam 4.3 Maintenance of Operating Facilities 4.4 Warning Systems In Effect 4.5 Evaluation	10 10 10 10
SECTION 5 - HYDROLOGY/HYDRAULICS 5.1 Evaluation of Features	11
SECTION 6 - STRUCTURAL STABILITY 6.1 Evaluation of Structural Stability	14
SECTION 7 - ASSESSMENT/REMEDIAL MEASURES 7.1 Dam Assessment 7.2 Remedial Measures	16 16
APPENDIX  A Visual Inspection  B Engineering Data, Design, Construction and Operation  C Photographs	
D Hydrology/Hydraulics E Plates F Geology G Stability Analysis	

### PHASE I INSPECTION REPORT NATIONAL DAM INSPECTION PROGRAM AUBURN DAM NATIONAL ID NO. PA 00670 DER NO. 54-163

### SECTION 1 PROJECT INFORMATION

### 1.1 General.

- a. <u>Authority</u>. The Dam Inspection Act, Public Law 92-367, authorized the Secretary of the Army, through the Corps of Engineers, to initiate a program of inspection of dams throughout the United States.
- b. <u>Purpose</u>. The purpose of the inspection is to determine if the dam constitutes a hazard to human life or property.

### 1.2 Description of Project.

a. Dam and Appurtenances. Auburn Dam was constructed across the Schuylkill River to form a desilting basin as part of the Schuylkill River Project, Pennsylvania Act 441. It is a concrete gravity structure consisting of a central 500 foot long ogee spillway section, non-overflow concrete sections at each end of the spillway, and a 120 foot earth embankment beyond the left non-overflow section. The overall length of the dam is about 820 feet.

The ogee gravity spillway, crest elevation 473, has a maximum design base width of about 61 feet and a maximum design height from the foundation to the crest of the nonoverflow section of 58 feet. The maximum design height of the spillway crest above the downstream apron elevation is 39 feet, and the maximum design height of the non-overflow crest above the downstream apron is 51 feet. The bucket at the downstream toe of the spillway has a radius of 14 feet and a thickness of five feet, extending about 14.6 feet downstream from the projected toe of the ogee weir. The downstream apron design elevation is seven feet above the foundation elevation. As-built drawings or dimensions are not available to determine the actual heights or base width of the gravity sections, which are dependent on the foundation conditions encountered during construction. The "Final Report of the Schuylkill Project Engineers on Schuylkill River, Pennsylvania, 19471951" states: "The maximum height of the dam is 46 feet of which about 28 feet are below the original river bed." Assuming the top of culm (Plate 2, Appendix E) as original river bed, the foundation elevation would be 426. Taking "height of dam" to mean spillway crest, the foundation elevation would be 427.

The dam foundation design included grouting with holes extending 25 feet below the foundation in a single line on five foot centers at the heel of the spillway and non-overflow sections. The cement grouting was apparently to be done after the gravity structure was constructed.

The gravity non-overflow sections at each end of the spillway have a width of eight feet for the top nine feet, plus concrete curbs which add an additional two feet of width near the top. Below the eight foot wide section, the downstream base batters at 6.5 on 10, and the upstream base has a batter of 1 on 20. The right non-overflow section has been backfilled with rock spoil and the left non-overflow section has been backfilled with zoned materials protected by derrick stone; see Plates 3 and 4, Appendix E.

Beyond the left non-overflow section is an earth embankment which ties the non-overflow section to natural ground. The earth embankment has a top width of about 30 feet. The upstream, central and core trench portions of the embankment are constructed of impervious fill, and the downstream portion is constructed of pervious fill. Both upstream and downstream slopes are 3H:1V and are protected by rock spoil. The core trench is 10 feet wide at the bottom, and both upstream and downstream slopes are 1.25H:1V.

The right non-overflow section is tied to the right abutment by a backfill zone approximately 25 feet in length. Impervious fill was used for the upstream and central portions, and pervious fill for the downstream portion. There is no core trench beneath the backfill. A one foot thick layer of rock spoil protects the slopes and the crest.

- b. <u>Location</u>. The dam is located on the Schuylkill River, approximately one mile northwest of Auburn, Pennsylvania, in South Manheim and West Brunswick Townships, Schuylkill County, Pennsylvania. The site is shown on the USGS Quadrangle entitled "Auburn, Pennsylvania" at coordinates N 40° 36.5' W 76° 6.4'. A regional location plan of Auburn Dam is enclosed as Plate 1, Appendix E.
- c. <u>Size Classification</u>. The dam is classified as an "Intermediate" size structure by virtue of its estimated 51 foot height and 4,500 acre-foot total storage capacity.

- d. <u>Hazard Classification</u>. A "High" hazard classification is assigned consistent with the potential for extensive property damage and possible loss of life along the Schuylkill River downstream of the dam.
- e. Ownership. The dam is owned by the Department of Environmental Resources, Office of Resource Management. All correspondence should be sent to Resources Management, Bureau of Operations, Department of Environmental Resources, Post Office Box 1467, Harrisburg, Pennsylvania 17120.
- f. <u>Purpose of Dam</u>. The purpose of this dam is to create a desilting basin, originally to trap coal sediment.
- g. <u>Design and Construction History</u>. Auburn Dam was constructed as a result of Pennsylvania Act 441, "Schuylkill River Desilting Project", June 1945. Auburn Dam is one of a series of several dams along the Schuylkill River constructed to form desilting basins to trap coal sediment carried by the river.

On September 3, 1947, Sprague & Henwood, Incorporated, was awarded the contract to provide test borings at several sites along the Schuylkill River as part of the Schuylkill River Project. All test borings were completed by February 11, 1948. Justin & Courtney\* and Albright & Friel\*\*, both of Philadelphia, Pennsylvania, were the engineers responsible for designing the dams across the Schuylkill River. Auburn Dam was constructed by the Arthur A. Johnson Corporation under Contract No. 35, Pennsylvania GSA No. 100-12. During the early stages of excavation, it was found that a more satisfactory foundation existed 45 feet downstream from the original site as determined from the core borings. The decision was made to move the site of the dam downstream to take advantage of the better foundation conditions. The dam is founded on a "dike of sandstone" approximately 60 feet wide.

The dam was constructed in two stages by the use of cofferdams and diversion channels. The right half of the dam was constructed first with diversion of the river through a temporary diversion channel and construction of an earth dike cofferdam. During the second stage of construction, the river was diverted by means of three  $4\times 5$  foot conduits through the completed spillway section near the right side. To provide for overflow during high water, the three upper five foot

<sup>\*</sup> Justin & Courtney is now a division of O'Brien & Gere, Syracuse, New York.

<sup>\*\*</sup> Albright and Friel has sinced merged with Betz-Converse-Murdoch-Inc., Plymouth Meeting, Pennsylvania.

lifts of one monolith section of the first stage construction were not poured until after the other monoliths were completed. After construction, the three conduits were closed off at the upstream end with concrete stoplogs. The final stoplogs were placed October 26, 1950. The dam was completed on October 31, 1950, for a total cost of \$1,396,939.80.

h. Normal Operating Procedures. All water flows over the weir of the spillway.

### 1.3 Pertinent Data.

A summary of pertinent data for Auburn Dam is presented as follows.

a. Drainage A	Area (square	e miles) l	.57
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b.	Discharge at Dam Site (cfs)	
	Maximum Known Flood	Unknown
	At Top of Non-overflow Section	82,670

c.	Elevation (feet above MSL)	
	Top of Dam	
	Existing	485.2
	Design	485.0
	Spillway Crest	473.0
	Normal Pool	473+
	Tailwater (5/1/80) /1,	451.0
	Downstream Apron (toe)  Foundation Elevation	434±
	Foundation Elevation (1)	427±

đ.	Reservoir (feet)	
	Length at Normal Pool	12,000
	Fetch at Normal Pool (est)	2,500
	Length at Maximum Pool (est)	16,000

e.	Storage	(acre-feet)	
	Normal	Pool	1,900
	At Top	of Non-overflow (est)	4,500

f.	Reservoir Surface	(acres)	
	Normal Pool	186	į

g.	Dam Data	
	Туре	Concrete gravity w/
		zoned earth embank-
		ment at left end

<sup>(1)</sup> Based on discussion contained in Section 1.2, paragraph a.

Length 820 feet Height (above downstream
 apron) 51± feet Crest Width (concrete non-overflow section) 8 feet Volume 32,000 cubic yards 10,000 cubic yards Core trench w/imper-Concrete Earth Cutoff vious backfill beneath embankment at left end Grout Curtain Single line grout

Elevation

Spillway Type

Length

h.

Concrete ogee weir 473.0 feet 500 feet

curtain at heel of gravity sections

<sup>(1)</sup> See note on previous page.

### SECTION 2 ENGINEERING DATA

### 2.1 Design.

- a. <u>Data Available</u>. A summary of engineering data for Auburn Dam is presented in the checklist attached as Appendix B.
- b. <u>Design Features</u>. Principal design features are illustrated on the plan, profile and cross-sections of this structure, and are enclosed in Appendix E as Plates 2 through 8. These plates are reproduced from drawings supplied by the Department of Environmental Resources (DER). A description of the design features is presented in Section 1.2, entitled "Description of Project".

### 2.2 Construction.

A description of the construction history is presented in Section 1.2.

### 2.3 Operational Data.

There are no operational records maintained. Since all flow passes over the overflow section, there are no minimum flow requirements downstream.

### 2.4 Evaluation.

- a. Availability. All engineering data reproduced in this report and studied for this investigation were provided by the Pennsylvania DER, the Bureau of Dam Safety and the Bureau of Operations.
- b. Adequacy. The data included in state files and information received from representatives of the Office of Resource Management were sufficiently adequate to evaluate the design features of the dam, with the exception of the spillway adequacy rating, and no stability analysis was provided.
- c. <u>Validity</u>. There is no reason to question the validity of the available data.

### SECTION 3 VISUAL INSPECTION

### 3.1 Findings.

- a. General. Observations and comments of the field inspection team are contained in the checklist enclosed herein as Appendix A, and are summarized and evaluated as follows. In general, the ogee section, non-overflow sections and earthen sections of the facilities appear to be in good condition and well maintained. At the time of the inspection, the river was flowing at a normal rate over the spillway, and thus, the ogee section and downstream apron of the spillway could not be inspected.
- b. <u>Dam</u>. The vertical alignment of the non-overflow sections and earthen embankment was checked and is presented on sheet 5B of 11, Appendix A. There were no distortions in alignment or grade that would be indicative of either horizontal movement of the monoliths or embankment section or deep seated movement within the foundation.
- l. Concrete Non-overflow Sections. The exposed portions of concrete of the non-overflow sections and spray walls were inspected and found to be in good condition. There were no changes in alignment or apparent rotation that would be indicative of foundation movement. Surficial concrete deterioration was limited to the railing pilasters on both left and right non-overflow sections, as shown in Photograph 8, and along some expansion joints on the right spray wall, as shown in Photograph 7. Visible on the outside spray wall surface and downstream gravity section of the right overflow section were leachate deposits, shown in Photographs 4 and 5, indicating a long-term leakage/seepage through the concrete. The leachate deposit shown in Photograph 6 is approximately 18 inches long, nine inches wide and nearly an inch thick.

The bottoms of concrete pilasters supporting railing posts have spalled off, as shown in Photograph 8, and some have been repaired within the last year. Surface cracks of the pilasters and walkway and around the railing posts have been sealed with bituminous material. The long-term existence of these cracks is demonstrated by the buildup of leachate at the bottom of at least one of them, which had water leaking out at the time of the inspection. This water is assessed to be rainwater. These cracks are routinely sealed in an effort to prevent freezing damage in the winter. Railings have recently been painted with aluminum paint.

The backfill around the right non-overflow section and the adjacent embankment, shown in Photograph 3, appears to be in good condition with no significant erosion or sloughing. The fill around the left non-overflow section is protected by derrick stone, shown in Photograph 11, which ranges from four to eight feet thick. It is noted that the interstices of this large stone are not filled with smaller stone. The derrick stone was designed to overlay a two foot thick layer of rock spoil, which in turn overlies a one foot thick layer of gravel bedding. A small amount of erosion was noted at the downstream toe of the left spray wall, shown in Photograph 15, probably resulting from a combination of wave action and foot traffic.

Left Embankment Section. There were no distortions in alignment or grade that would be indicative of deep seated movement of the embankment or foundation. The vertical profile is included on sheet 5B of II, Appendix A. The crest, shown in Photograph 10, is unprotected by vegetation or rock spoil, and is slightly rutted by vehicle tire Near the junction of the earth embankment crest with the concrete non-overflow section were depressions filled with Some trees and brush have been removed standing rainwater. from the earth embankment adjacent to the non-overflow section, but both upstream and downstream embankment slopes are still covered with trees and light underbrush, as shown in Photograph 13. Rock spoil is visible underwater on the upstream side and is also evident under the forest litter on the upstream and downstream slopes. Minor erosion/settlement/vandalism appears to have occurred above the junction of derrick stone and rock spoil on the downstream slope. junctions with the abutment are in good condition, both upstream and downstream. The downstream junction of the left embankment with the abutment is shown in Photograph 14. seepage was noted at the toe of either the embankment section or the backfilled areas of the concrete non-overflow sections.

The dam was formerly lighted at night, and the base of a sawed-off timber utility pole remains in the embankment crest near the concrete left non-overflow section. Earlier this year, the utility pole had been cut off flush with the embankment. Apparently, vandals have tried to remove the electrical cable, and a hole has been dug at the base of the utility pole.

### c. Appurtenant Structures.

The exposed portions of the ogee spillway were limited to the spray walls of the structure. Water flowing over the spillway crest was smooth with no indications of cracks or displacements between the monoliths, as shown in Photograph 1.

- d. Reservoir. At the time of the inspection, the reservoir was at normal pool, and the slopes to the water's edge were well vegetated and stable. The reservoir is presently being dredged, and it is expected that about 200,000 cubic yards of material will be removed from the pool this summer. It is estimated that the pool capacity is presently reduced by about 20 percent by sediment accumulation.
- e. <u>Downstream Channel</u>. The natural channel below the dam is the Schuylkill River, which appears to be in good condition with stable banks and a minimum amount of scour.

### 3.2 Evaluation.

Inspection of the dam and appurtenant facilities disclosed no evidence of apparent past or present movement that would indicate an existing instability of the dam. Since flow was passing over the spillway at the time of the inspection, the toe of the spillway could not be inspected for undermining, scour or the condition of the apron section. There is no evidence to suggest that the observed seepage through the right non-overflow section is detrimental to structural stability at this time. All exposed structural features of the dam were observed to be in good condition. Trees and brush should be removed from the earth embankment and the slopes restored to their original condition. Although no embankment conditions, apparent erosion or depressions are sufficiently serious to require immediate repair, good practice would indicate repairs on a routine basis. As in the past, damaged pilasters should be repaired to prevent potential loss of support to the hand railing on the top of the dam. The effects of seepage through the right non-overflow section should be periodically monitored and evaluated.

### SECTION 4 OPERATIONAL PROCEDURES

### 4.1 Procedures.

Operation of the dam does not require a dam tender. All flow discharges directly over the ogee section and downstream into the Schuylkill River.

### 4.2 Maintenance of the Dam.

The dam is inspected yearly by the Department of Environmental Resources (DER), Bureau of Operations, in Harrisburg. The local Schuylkill River Project office provides routine maintenance of the structure, which includes removal of debris, painting and sealing of any surficial cracks.

### 4.3 Maintenance of Operating Facilities.

There are no mechanical devices or operating facilities to maintain for this structure.

### 4.4 Warning Systems In Effect.

According to DER's representative during the time of the inspection, there are no formal warning procedures associated with Auburn Dam.

### 4.5 Evaluation.

Since there are no operating facilities and since the dam does not require a dam tender, it is judged that the current operating procedure is a satisfactory method of operating the dam. Since a warning procedure does not exist, it is recommended that one be established.

### SECTION 5 HYDROLOGY/HYDRAULICS

### 5.1 Evaluation of Features.

a. Design/Evaluation Data. No original design data were located. Some evaluation data were available in state files, and additional calculations for this investigation are presented in Appendix D.

The large, irregularly shaped watershed is about 14 miles long and ranges from 8 to 18 miles wide, having a total area of 157 square miles. Elevations range from 1,757 in the upper reaches to 473 at the weir elevation. This portion of the Schuylkill River Watershed has higher average rainfall and steeper topography than the lower portions of the river, producing a higher runoff. In the watershed above Auburn Dam are over 20 dams, generally concentrated in the upper portions of the watershed. One of the largest dams is located on Plum Creek about two miles above Auburn reservoir.

The total drainage area is less than 25 percent developed and about 75 percent wooded. Coal lands comprised about 76 square miles of the Schuylkill River Watershed concentrated in the extreme upper reaches. The original sediment problem was created by coal processing methods and, during every rainfall, considerable amounts of silt erode from "culm piles", or mine waste piles. It is not expected that runoff characteristics will change significantly in the near future.

The only information concerning spillway capacity is limited to statements in the "Final Report of the Schuylkill River Engineers on the Schuylkill River, Pennsylvania, 1947 - 1951", and an evaluation located in Department of Environmental Resources (DER) files. The design engineers indicate that the design depth of water on the crest was 12 feet, producing a maximum spillway capacity of 75,000 cfs. A memorandum in DER files indicates that the maximum spillway capacity is 79,000 cfs, based on a weir coefficient of 3.8.

In accordance with criteria established by Federal (OCE) Guidelines, the recommended spillway design flood for this "Intermediate" size dam and "High" hazard classification is the Probable Maximum Flood (PMF).

b. <u>Experience Data</u>. Reservoir levels are not maintained for this dam, and there are no estimates of previous high water levels.

- c. <u>Visual Observations</u>. On the date of the inspection, there were no conditions observed that would indicate a reduced spillway capacity during an extreme event. Observations regarding the condition of the downstream channel, spillway and reservoir are located in Appendix A and discussed in greater detail in Section 3.
- Overtopping Potential. This structure was evaluated by the use of the "HEC-1, Dam Safety Version" computer program. A brief description of the program is included in Appendix D. The presence of upstream dams has been conservatively neglected in computing the inflow hydrograph for Auburn The dam on Plum Creek has a drainage area of about three square miles and is not a flood control dam. Therefore, it will have a negligible effect on the PMF inflow hydrograph to Auburn Dam. The HEC-1 computed peak PMF inflow is about 93,800 cfs. Calculations for this investigation indicate that the maximum spillway capacity is about 82,670 cfs. through the reservoir indicates that the earth embankment portion will be overtopped by about one foot during the full PMF event. Calculations indicate that the spillway is capable of passing about 89 percent of the PMF without overtopping the embankment. The outflow from Auburn Dam was routed downstream to estimate the likelihood that the weir would be submerged during the spillway design storm. A maximum stage of 473 feet at the downstream section during the PMF indicates the maximum spillway capacity would not be appreciably reduced by submergence of the weir.
- e. <u>Spillway Adequacy</u>. As the spillway will not pass the full PMF without overtopping the embankment, but passes more than one-half the PMF without overtopping the structure, the spillway is rated as "Inadequate" but not "Seriously Inadequate".
- f. <u>Downstream Conditions</u>. About 400 feet downstream of the dam, the Schuylkill River passes under the railroad bridge shown in Photograph 16. About 1.5 miles farther downstream, the Schuylkill River flows under the Route 895 highway bridge at Auburn, Pennsylvania. Auburn itself is built about 40 feet above the Schuylkill River floodplain. Across the river from Auburn is an industrial complex, shown in Photograph 17. Industrial buildings and at least one house at that location would be damaged in the event of a sudden failure of the dam. About eight river miles downstream of Auburn Dam is Port Clinton. Port Clinton is located at the confluence of the Schuylkill River and the Little Schuylkill River, immediately upstream of the point where the two combined rivers flow through a gap in the Blue Mountain Ridge. Portions of Port

Clinton are built within 20 feet above the river bank. The gap in the mountain ridge forms a constriction, possibly causing backwater effects at Port Clinton. Were the dam to fail, particularly not as a result of overtopping during an extreme event, extensive property damage and loss of life would occur, justifying a "High" hazard potential rating.

### SECTION 6 STRUCTURAL STABILITY

### 6.1 Evaluation of Structural Stability.

Visual Observations. Visual observations detected no evidence of existing or impending instability of the structure. All exposed items of the structure were inspected and found to be in good condition, except for deteriorated railing pilasters, minor surficial concrete deterioration and construction joint deterioration caused by seepage. However, the entire ogee section was covered with water and could not be thoroughly inspected. There was no distortion along the spillway crest to infer excessive scour downstream, monolith displacement or structural deterioration of the ogee section. Spaces were noted between the derrick stone on the downstream section and the embankment portion, most likely as a result of vandalism, but it is judged that this would not have a significant effect on the stability of the structure in the event it is overtopped.

Construction joint deterioration is occurring within the right non-overflow section and spray wall as evidenced by leachate deposits. There is no evidence detected by visual inspection that the structural integrity of the structure has been affected.

- b. <u>Design and Construction Data</u>. No design calculations or as-built drawings were available from which to assess the stability of the overflow and non-overflow sections of the dam. Based on a review of the design drawings, the visual appearance of the structure, and a simplified stability analysis presented in Appendix G, the stability of the dam is judged to be adequate. Although the resultant falls outside the middle third of the base, toe pressures are not considered excessive.
- c. Operating Records. There are no operational records for this structure.
- d. <u>Post-Construction Changes</u>. Since the completion of the dam in 1950, there have been no modifications made to this structure.

e. <u>Seismic Stability</u>. The dam is located in Seismic Zone 1. Normally it can be considered that if a dam in this zone is stable under static conditions, it can be assumed safe for any expected earthquake conditions. Since the dam is assessed to be stable under static loading conditions at the present time, it can also reasonably be considered to be stable under seismic loading conditions.

### SECTION 7 ASSESSMENT/REMEDIAL MEASURES

### 7.1 Dam Assessment.

a. <u>Evaluation</u>. Visual inspection of the exposed sections of the dam and review of the limited available data indicate that Auburn Dam is in good condition. It is to be noted that the entire spillway and bucket were submerged and could not be inspected. Therefore, a complete visual assessment of the structure could not be performed.

In accordance with criteria established by Federal (OCE) Guidelines, the recommended spillway design flood for this "Intermediate" size dam and "High" hazard potential classification is the Probable Maximum Flood (PMF). Calculations presented in Appendix D indicate the structure will pass about 89 percent of the Probable Maximum Flood without overtopping the embankment. Therefore, the spillway system for this structure is considered to be "Inadequate" but not "Seriously Inadequate".

- b. Adequacy of Information. Information available for this investigation, the visual inspection and simplified calculations presented in Appendices D and G were sufficient to indicate that no further investigations are required for this structure beyond monitoring specified below.
- c. <u>Urgency</u>. The recommendations presented in the following section should be implemented as soon as practical.

### 7.2 Remedial Measures.

- a. <u>Facilities</u>. It is recommended that the following items of routine maintenance and surveillance be undertaken.
  - (1) The remaining trees and brush on the left earth embankment section should be removed.
  - (2) Damaged pilasters should be repaired to prevent loss of support to the hand railing on the top of the dam.
  - (3) Surficial joint deterioration of the right nonoverflow section should be periodically inspected. If deterioration of these zones becomes excessive, they should be cleaned and patched.

- (4) Seepage through the right non-overflow section should also be monitored and evaluated. This work should be performed under the supervision of a registered professional engineer experienced in the design and construction of dams.
- b. Operation and Maintenance Procedures. An operation and maintenance manual for small dams has been prepared by the Department of Environmental Resources, Division of Completed Projects, Bureau of Operations. Portions of the manual apply to this structure. It is important that persons concerned with the structure are familiar with the procedures contained in the manual. Since there are no formal warning procedures for this structure, one should be developed to warn downstream residents of impending high flows.

APPENDIX

A

CHECK LIST VISUAL INSPECTION PHASE I

Sheet 1 of 11

Auburm Dam County Schuylkill State Pennsylvania 10 # PA 00670	Type of Dam $\it Concrete gravity/earth$ Hazard Category $\it High$ Date(s) Inspection $\it 5/1/80$ Weather $\it Sunny$ Temperature $\it 60's$	ation at Time of Inspection 473.5 M.S.L. Tailwater at Time of Inspection 451.0 M.S.L.	Mary F. Beck Hydrologist)  Mary F. Beck Hydrologist)  (Geotech- Arthur H. Dvinoff nical/Civil)	Raymond_S. Lambert_Geologist) Many F. Beck
Name Dam Auburm Dam	Type of Dam Concrete Date(s) Inspection 5/	Pool Elevation at Time	Inspection Personnel:  Mary F. Beck Hydroi  CALTHUR H. Dvinoff	Raymond_SLambe:

Mr. Clifford Romig, Bureau of Operations and Mr. Joseph Bullenger, Schuylill River Project, were on

site and provided assistance to the inspection team.

Remarks:

## CONCRETE/MASONRY DAMS

VISUAL EXAMINATION OF	Sheet 2 of 11 OBSERVATIONS REMARKS OR RECOMMICHDATIONS
ANY NOTICEABLE SEEPAGE	Slight seepage observed through concrete joint in right non-overflow section. No seepage was observed at toe.
STRUCTURE TO Abutaent/enbankmenī Junctions	Good condition.
DRAINS	None
WATER PASSAGES	None

Could not be inspected.

FUUNDATION

## CONCRETE/MASONRY DAMS

VISUAL EXAMINATION OF	Sheet 3 of 11 OBSERVATIONS RECOMMENDATIONS
SURFACE CRACKS CUICRETE SURFACES	Water observed through cracks on right non-overflow section, a long- term condition as evidenced by leachate deposits.
STRUCTURAL CRACKING	None observed.
VERTICAL AND HORIZONTAL ALIGIMENT	Appeared good, see Sheet 5B of 11.
млиоцти оотитѕ	Exposed joints in good condition.
COHSTRUCTION JOINTS	Exposed joints in good condition with some minor deterioration of concrete on downstream side of right non-overflow section

### EMBANKMENT

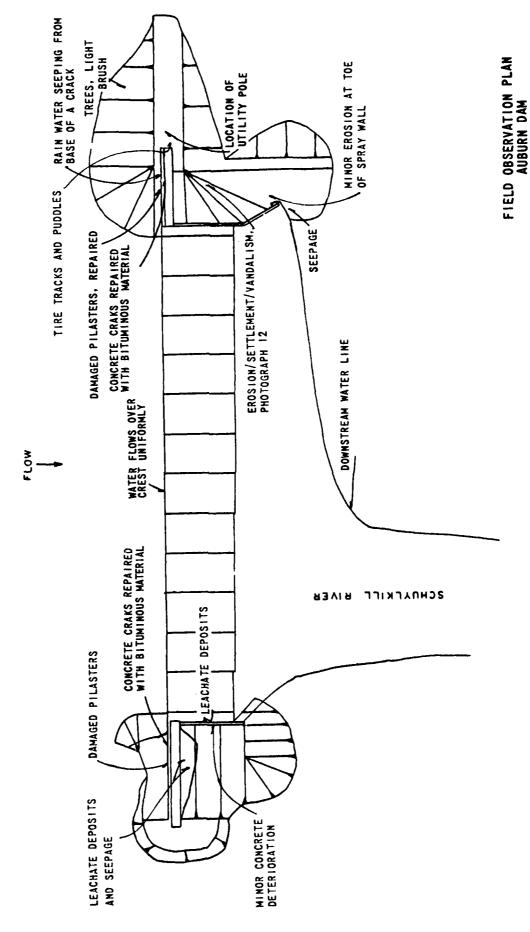
SURFACE CRACKS	None observed.	
HMISHAL MOVEMENT OR		
CRACKING AT OR BEYOND THE TOE	None observed.	
SLOUGHING OR EROSION OF EMBANZIENT AND ABUTMENT SLOPES	Apparent erosion of downstream embankment above the contact with derrick stone.	contact with
VERTICAL AND HORIZONTAL ALIGNMENT OF THE CREST	See Sheet 5B of 11.	

None observed.

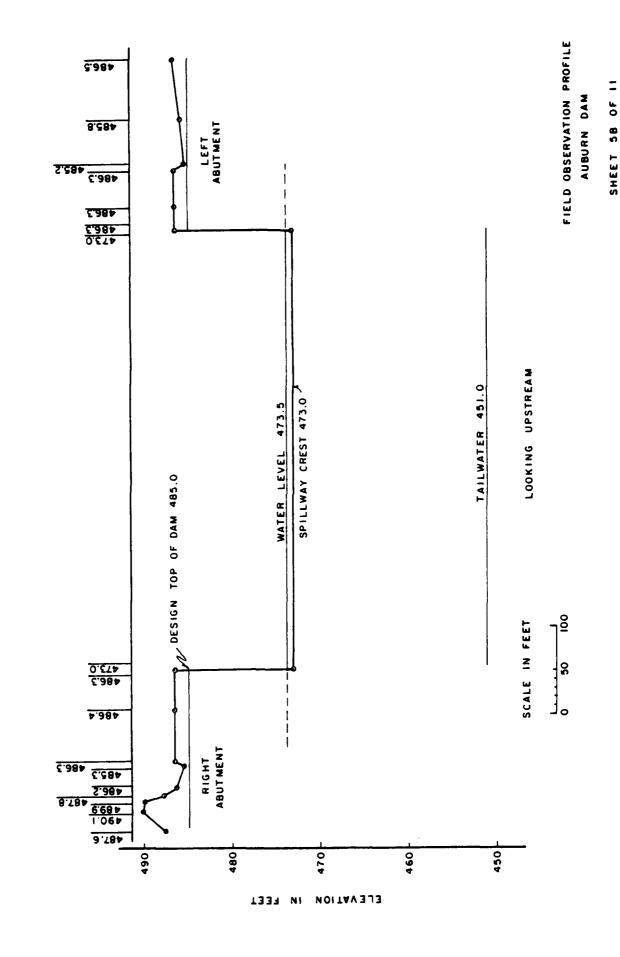
RIPRAP FAILURES

### EMBANKMENT

VISHIAL EXAMINATION OF	OBSERVATIONS RECOMMENDATIONS
VEGETATION	Upstream and downstream slopes are covered with trees and light underbrush. The crest is unprotected and has vehicle tracks.
JUNCTION OF EMBANKMENT AND ABUTMENT, SPILLWAY AND DAM	Good condition.
ANY NOTICEABLE SEEPAGE	None observed.
STAFF GAGE AND RECORDER	None, remnants of staff gage visible on upstream side of left non-overflow section.



AUBURN DAM SHEET 5A OF 11



## **OUTLET WORKS**

	Sheet	Sheet 6 of 11
VISUAL EXAMINATION OF	OBSERVATIONS RECOMMENDATIONS	AT I OidS
CRACKING AND SPALLING OF CONCRETE SURFACES IN OUTLET CONDUIT	None	
INTAKE STRUCTURE	None	
OUTLET STRUCTURE	None	•
OUTLET CHAMBEL	None	
EMERGENCY GATE	None. Three 4 ft. $x$ 5 ft. conduits through spillway are closed at upstream end with concrete stop logs.	sed

## UNGATED SPILLWAY

		Sheet 7 of 11
VISUAL EXAMINATION OF	06SERVATIONS	REMARKS OR RECOMMENDATIONS
CUNCRETE MEIR	Flow over weir appeared uniform.	Spillway could not be inspected.
APPROACH CHANNEL	N/A	
UISCHARGE CHA;RIEL	Stable, but the channel at the base of the spillway could not be inspected for erosion or undercutting.	e of the spillway could not be ting.
BRIDGE AND PIERS	None	

## GATED SPILLWAY

		Sheet 8 of 11
VISUAL EXAMINATION OF	OBSERVATIONS	REMARKS OR RECOMMENDATIONS
CONCRETE STILL	N/A	
APPROACH CHANNEL	N/A	
DI SCHARGE CHANNEL	N/A	
BRIDGE AND PIERS	N/A	
GATES AND OPERATION	N/A	

## INSTRUMENTATION

VISUAL EXAMINATION	OBSERVATIONS	Sheet 9 of 11 REMARKS OR RECOMMENDATIONS
MONUMENTATION/SURVEYS	None	
OBSERVATION WELLS	None	
WE IRS	None	
P I E ZOMETERS	Nove	
ОТИЕЯ		

None

### RESERVOIR

Sheet 10 of 11 REMARKS OR RECOMMENDATIONS OBSERVATIONS VISUAL EXAMINATION OF

Reservoir side slopes are moderate to steep and vegetated to waters edge

Debris was noted along reservoir edge.

with trees or grass.

SEDIMENTATION

At the extreme upstream end of the reservoir sediment has formed bars in the river. It is estimated sediment has reduced normal capacity by 20 percent. The reservoir is being dredged.

# DOWNSTREAM CHANNEL

		Sheet 11 of 11
VISUAL EXAMINATION OF	OBSERVATIONS REMARKS (	REMARKS OR RECOMMENDATIONS
COMPITION (OBSTRUCTIONS, DEBRIS, ETC.)	Schuylkill River forms the downstream channel and appears in good condition below the dam.	urs in good condition
SLOPES	ml. and the weeds out to low the dom is amore consimpted. 0 001	0.1

The valley gradient below the dam is approximately 0.001.

APPROXIMATE NO. OF HOMES AND POPULATION

Failure of the dam is likely to wash out the railroad bridge 400 feet downstream of the dam and the Rt. 895 highway bridge 1.7 miles downstream of the dam. Immediately upstream of Rt. 895 is an industrial complex with many employees. Downstream of Rt. 895 is at least one house which would be damaged in the event of failure.

APPENDIX

В

CHECK LIST ENGLHEERING DATA DESIGH, CONSTRUCTION, OPERATION PHASE I

NAME OF DAM

Auburn Dam

PA 00670

# NI

AS-BUILT DRAWINGS

REMARKS

None available.

Sheet 1 of 4

REGIONAL VICINITY MAP

Plate 1, Appendix E.

CONSTRUCTION HISTORY

See text, Section 1.2

TYPICAL SECTIONS OF DAM

See Appendix E.

**JUTLETS - PLAN** 

DETAILS

COUSTRAINTS

DISCHARGE RATINGS

RAINFALL/RESERVOIR RECORDS

Appendix E.

- See Appendix D

None

A borrow source is designated on the design drawings, located approximately 1,000 feet northwest of the dam.

HONTIURING SYSTEMS

MODIFICATIONS

PRIOR ACCIDENTS OR FAILURE OF DAM DESCRIPTION REPORTS

None

See Sheet 4 of 4 under Miscellaneous.

POST COMSTRUCTION ENGINEERING STUDIES AND REPORTS

HISH POOL RECURDS

MAINTENANCE OPERATION RECORDS

None, inspection records maintained by Bureau of Operations.

REMARKS See Appendix E. SECTIONS DETAILS SPILLWAY PLAN ITEM

OPERATING EQUIPMENT PLANS & DETAILS

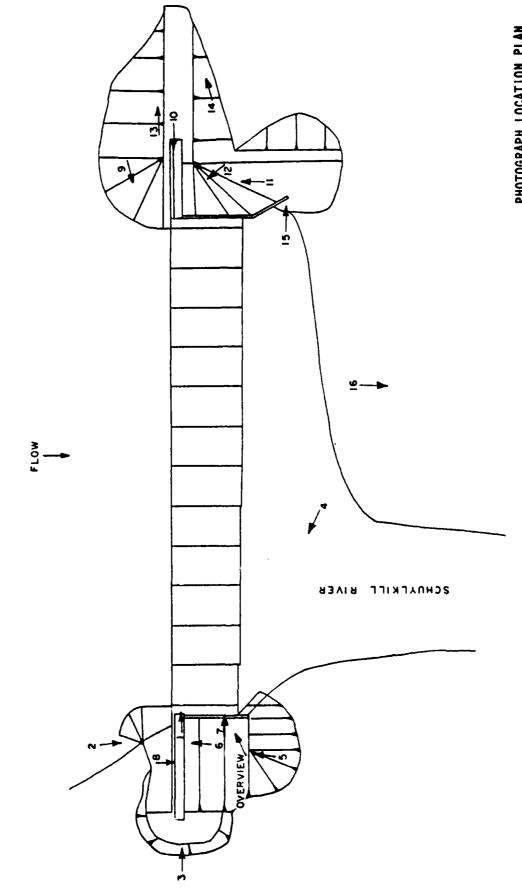
None

## MISCELLANEOUS

- "Final Report of Schuylkill River Project Engineers on Schuylkill River, PA 1947-1951," provided by local Schuylkill River Project Office. File maintained by Bureau of Operations was available for review. "Operation and Maintenace Manual for Small Dams", prepared by Bureau
  - 3.8
- The following were supplied by Dept. of Environmental Resources, Bureau of Dam Safety and Waterways Management. of Operations was supplied.
  - A 14 sheet set of design drawings. DER inspection reports.
- Memorandum describing design features of dam.
- Copies of inspection report files by local Schuylkill Project Engineers Office.
  - Three black and white photographs. 4.50.5.8

APPENDIX

C



PHOTOGRAPH LOCATION PLAN AUBURN DAM

PLATE C-I



DISCHARGE IS UNIFORM OVER SPILLWAY CREST.



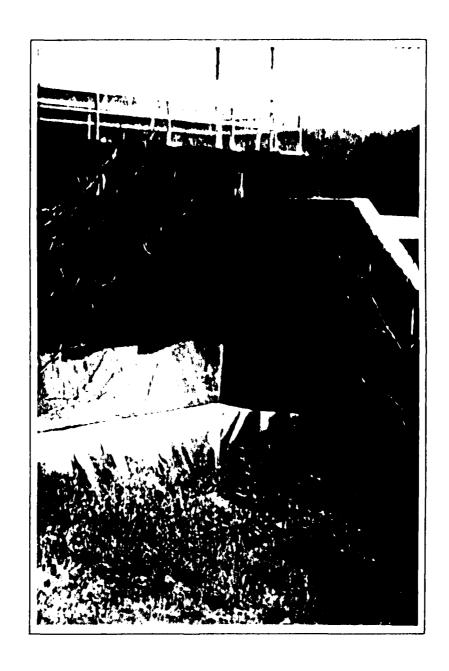
UPSTREAM SIDE, RIGHT NON-OVERFLOW SECTION.



ABUTMENT AND TOP OF NON-OVERFLOW SECTION, RIGHT SIDE.



SPRAY WALL, RIGHT SIDE.



LEACHATE DEPOSITS ON DOWNSTREAM SIDE OF RIGHT NON-OVERFLOW SECTION.



CLOSE-UP OF LEACHATE DEPOSIT WHICH IS ABOUT ONE INCH THICK.



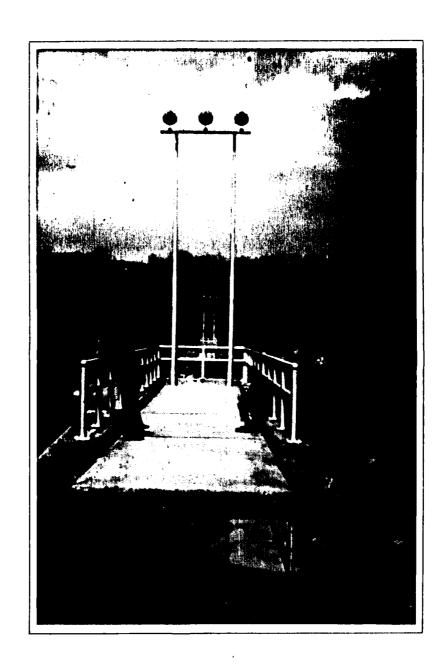
JOINT DETERIORATION ON INSIDE OF RIGHT SPRAY WALL.



DETERIORATED PILASTER, UPSTREAM SIDE OF RIGHT NON-OVERFLOW SECTION.



UPSTREAM SIDE, LEFT NON-OVERFLOW SECTION



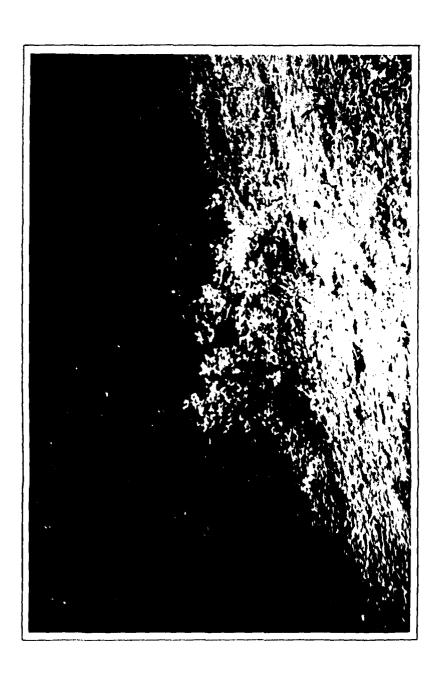
EMBANKMENT CREST AND TOP OF LEFT NON-OVERFLOW SECTION.



DOWNSTREAM DERRICK STONE, LEFT SIDE.



CONTACT BETWEEN DERRICK STONE AND EMBANKMENT.



UPSTREAM SIDE OF EMBANKMENT SECTION.



DOWNSTREAM JUNCTION OF EMBANKMENT AND LEFT ABUTMENT.



MINOR EROSION AT DOWNSTREAM TOE OF LEFT SPARY WALL.



DOWNSTREAM RAILROAD BRIDGE.



DOWNSTREAM DAMAGE CENTER AT INDUSTRIAL COMPLEX NEAR AUBURN, PENNSYLVANIA.

APPENDIX

D

### AUBURN DAM CHECK LIST HYDROLOGIC AND HYDRAULIC ENGINEERING DATA

DRAINAGE AREA CHARACTERISTICS: Large, rolling, 25% developed, coal mining in upper reaches.
ELEVATION TOP NORMAL POOL (STORAGE CAPACITY): 473.0 feet (1900 Acre-Feet).
ELEVATION TOP FLOOD CONTROL POOL (STORAGE CAPACITY): 485.0 feet (4500 Acre-Feet)
ELEVATION MAXIMUM DESIGN POOL:
ELEVATION TOP DAM: 485 feet.
SPILLWAY
a. Elevation 473.0 feet.
b. Type Concrete ogee weir.
c. Width500 feet.
d. Length
e. Location Spillover Central portion of structure.
f. Number and Type of Gates
OUTLET WORKS:
a. Type Non-functional construction diversion conduits with soncrete stop logs.
b. Location
c. Entrance inverts 448.0 feet.
d. Exit inverts 448.0 feet
e. Emergency draindown facilities
HYDROMETEOROLOGICAL GAGES:
a. Type  Two reporting National Weather Service Stations within the watershed.
the watershed. b. Location
c. Records National Weather Service.
MAXIMUM NON-DAMAGING DISCHARGE: Not determined.

### AUSURN DAM HYDROLOGIC AND HYDRAULIC BASE DATA

ORAINAGE AREA: (1	) 167 square miles.	
PROBABLE MAXIMUM FOR 10 SQ. MILES	PRECIPITATION (PMP) IN 24 HOURS: (2) 23.0 inches.	
ADJUSTMENT FACT	TORS FOR DRAINAGE AREA (%):(3)	
lone	c'	
5 Hours	38	
12 Hours	98	
24 Hours	.::3	
48 Hours		
SNYDER HYDROGRAPI	H PARAMETERS: (4)	
Zone	· · · · · · · · · · · · · · · · · · ·	·
Cp, Ct	0.40, 1.38	
ر(5)	27 78 68	
Lca (6)		
tp=Ct (L·Lca	)0.3	
SPILLWAY CAPACITY	Y AT MAXIMUM S2870 378	

 <sup>(1)</sup> Measured from USGS maps, 1:250.000 scale.
 (2) Hydrometerological Report No. 33, Figure 1.
 (3) Hydrometerological Report No. 33, Figure 2.

Hydrometerological Report No. 33, Figure 2.

Information received from Corps of Engineers, Baltimore District.

<sup>(1)</sup> (5) Length of longest water course from outlet to basin divide, measured from USGS maps.

<sup>(6)</sup> Length of water course from outlet to point opposite the centroid of drainage area, (see Plate 1, Appendix E) measured from USGS maps. (7) See Sheet  $\frac{11}{1}$  of this Appendix.

### HEC-1, REVISED FLOOD HYDROGRAPH PACKAGE

The original "Flood Hydrograph Package" (HEC-1), developed by the Hydrologic Engineering Center, Corps of Engineers, has been modified for use under the National Dam Inspection Program. The "Flood Hydrograph Package (HEC-1), Dam Safety Version", hereinafter referred to as, HEC-1, Rev., has been modified to require less detailed input and to include a dam breach analysis. The required input is obtained from the field inspection of a dam, any available design/evaluation data, relatively simple hydraulic calculations, or information from the USGS Quandrangle maps. The input format is flexible in order to reflect any unique characteristics of an individual dam.

HEC-1, Rev. computes a reservoir inflow hydrograph based on individual watershed characteristics such as: area, percentage of impervious surface area, watershed shape, and hydrograph characteristics determined from regional correlation studies by the Corps of Engineers, Baltimore District. The inflow is routed through the reservoir using spillway discharge data obtained from the field inspection or design data. Flood storage capacity is determined from USGS maps or design information and verified by the field inspection. In the event a spillway cannot discharge 0.5 PMF without overtopping and failure of the dam, downstream channel characteristics obtained from the field inspection and USGS maps are inputed and flows are routed downstream to the damage center and a dam breach analysis is performed.

Included in this Appendix are the HEC-1, Rev. pertinent input values and a summary print-out tables.

MFG	DATE 5/33/80	SUBJECT	SHEETOF
CHKD. BY AHD	DATE 5/30/80	Auburn Dam Hydrology / Hydraulics	JOB Na
		Hydrology / Hydraulies	
	· · · · · · · · · · · · · · · · · · ·		
- <del></del>	Classification	- (Pat - Peromonanded	Budelines for
	CLASSITICENS	n CRef Recommended Safety Inspecto	in of Dams)
	1. The has	eard potential is reted a	is "High" as there.
	would	pard potential is rested a be loss of life in the a dam.	event of sudden failure
	of the	dam	
	1/2 St2	e classification is "Inte	rmediate cased on 18
		eight wha 4000 ALTI SIDE	age cupacity,
	S. The sail	lway design flood, based	on size and hazard
	classific	lway design flood, based cation, is the Probable	Maximum Flood (PMF).
	·,, , ,	<del></del>	
	.Hydrology .a	nd Hydraulic Analysis	
	1 Pasiant	Evaluation Data No c	original design date
	was au	ailable. The Final Repo	of of Schwikill River
	Enginee	s" lists the spillway as	macity as 25,000 cf
	with a	design head of latt.	In 1951 the State
	estimat	ed the spillway capacit	y as 79,000 cts with
	a weir	- coefficient of 3.8.	
	o Eval i	A Stratege	
	Foun A	ion of Structure	ach parameters are
	Shown	Il and Snyder's nydrogr on sheet 2. The present	ce of wastream dams
	. has bee	en conservatively neglected	d.
			v v
		tion Storage Pata, son	
		normal pool 473	18the - disign value
		7.00	310 Az USGS map
	E'eua	non - Discharge Tata, she	own on sneet B
		nan. Discharge Pata, sho nape of weir conforms to Design of Small Pams, i	Ho (cesign head) = 12 ft.
		Design of Small Lams, L	USBR, 2nd ed., p. 378)
		F (neight of weir) = 10	from design arabins
		P/40 = 1/12 = 0.833	from design drawing
		2 = 3.87	. <b>.</b>

A 1 -	3 DATE 5/23/80				HEETOF.	
KD. BY HHD	DATE 5/30/80	Aub	urn Dam	J	OB No	
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·		· · · · · · · · · · · · · · · · · · ·				
	3. Spillway	Adequac	<i>Y</i>		a SPHF L	
	3. Spillway	Adequac	<i>Y</i>		O.SPHF b	ut ment
	3. Spillway As the	Adequac	<i>Y</i>		0.5PMF blue embank	ut ment,
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	3. Spillway  As the  less the  the spill  Inadeque	1 Adequacy c spillway can 1.0 PM Ilway 15 n	<i>Y</i>		0.5PMF bl the embank ut not "Sen	ut ment, ously
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	As the less the	1 Adequacy c spillway can 1.0 PM Ilway 15 n	<i>Y</i>		0.5PHF bi the embank out not "Sen	ment, ously
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RUN DATE: 80/05/17. TIME: 07.04.39. AUBURN DAN NAT ID NO. PA 00670 DER NO. 54-163 DVERTOFPING ANALYSIS

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SPECIFICATION	IMIN	•	LROPI	0
JOB SPEC	IHR	0	T 3K	•
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	NHR	_		

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NSTAN

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KIIOS= .50 .80 .90 1.00

# SUB-AREA RUNDFF COMPUTATION

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1128.	1053.	982.	982. 917.	856.	799.	745.	696.	647.	606.
565.	528.	493.	460.	429.	400.		349.	325.	304.
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EXCS

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MO.DA HR.MN PER100

## HYDROGRAPH KOUTING

OUTFLOW HYDROGRAPH

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DOUNSTREAM SECTION

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# NORMAL DEPTH CHANNEL ROUTING

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.0250	CRBSS S 0.0 640.0				
.0250	ECTION ( 0 480.0 0 450.0	0.00	0.00	445.00	0.00
CM(3)	CROSS SECTION COORDINATESSTA, ELEV, STA, ELEVETC 0.00 480.00 450.00 460.00 490.00 450.00 640.00 450.00 650.00 460.00 700.00 480.00	1.20	728.5	446.84	728.5
61NVT	ESSTA: 0 460.0 0 460.0	0 &		<b>4</b> - <b>4</b> 1	642 643 643
ELMAX 480.0	5STA,ELEV,STA,ELEVETC 460.00 490.00 450.00 460.00 700.00 480.00	20.79	2308.33 54790.17	448.68	2308.33
RLNTH 200.	0 450.00 0 450.00 0 480.00	3.69	4557.49 65215.19	450.53 468.95	4557.49
SEL -00100		.,	9 7484.04 9 77092.17		9 7484.04
	445.00	5.02		452.37 470.79	
	495.00 445.00 635.00 445.00	6.43	11028.03 90532.00	454.21	11028.03
	445.00	7.92	15184.86	456.05	15184.86
		9.48 41.62	19954.40	457.89	19954.40
		11.12	25339.76 141275.50	459.74	25339.76

12.96 52.69

31073.97

31073.97

461.58

PEAK FLOW AND STORAGE (END OF PEKIOD) SUMMARY FOR MULTIPLE PLAN-RATIU ECONOMIC COMPUTATIONS

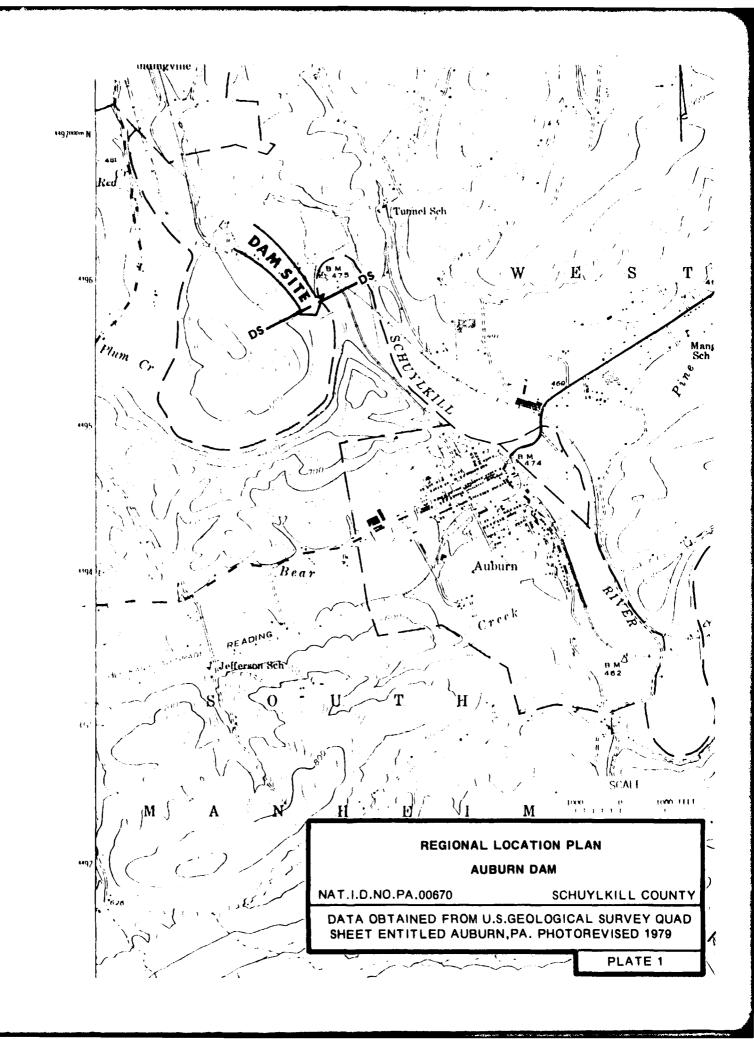
	PERK TLUM	AND STUKH	FLOUS I	UF PEKIUD) SUMMAKT FUK MULITPLE PLAN-KAI N CUBIC FEET PEK SECOND (CUBIC METEKS PEI AREA IN SQUARE MILES (SQUARE KILOMETERS)	SUMMAKT PE ET PER SECI NARE MILES	UK AULITEL DND (CUBIC (SQUARE K	FEAR FLOW AND STUKABE (END OF PERTUD) SUMMART FOR MULITPLE PLAN-KALLU ELUMUNIC FLOWS IN CUBIC FEET PER SECOND (CUBIC METERS PER SECOND) AREA IN SQUARE MILES (SQUARE KILOMETERS)
0FERATION	STATION	AREA	FLAN	RATIOS APPLIED TO F PLAN RATIO 1 RATIO 2 RATIO 3 RATIO 4 .50 .80 .90 1.00	RATIO 2	RATIOS API Ratio 3	RATIOS APPLIED TO FLOWS RATIO 3 RATIO 4 .90 1.00
HYDROGRAPH AI		IN 157.00 ( 406.63)	_ ~	1 46894, 75031, 84410, 93789, (1327.90)(2124.64)(2390.22)(2655.80)(	75031. 2124.64)(	84410. 2390.22)(	93789. 2655.80)(
ROUTEB TO	00T	0UT 157.00 ( 406.63)		1 46623, 74608, 84042, 93408, (1320,21)(2112,67)(2379,82)(2645,03)(	74608.	84042. 2379.82)(	93408. 2645.03)(
ROUTED TO	981	US1 157.00 ( 406.63)	- ~	46609, 74612, 84044, 93419, (1319,82) (245,33) (	74612.	84044.	93419. 2645.33)(

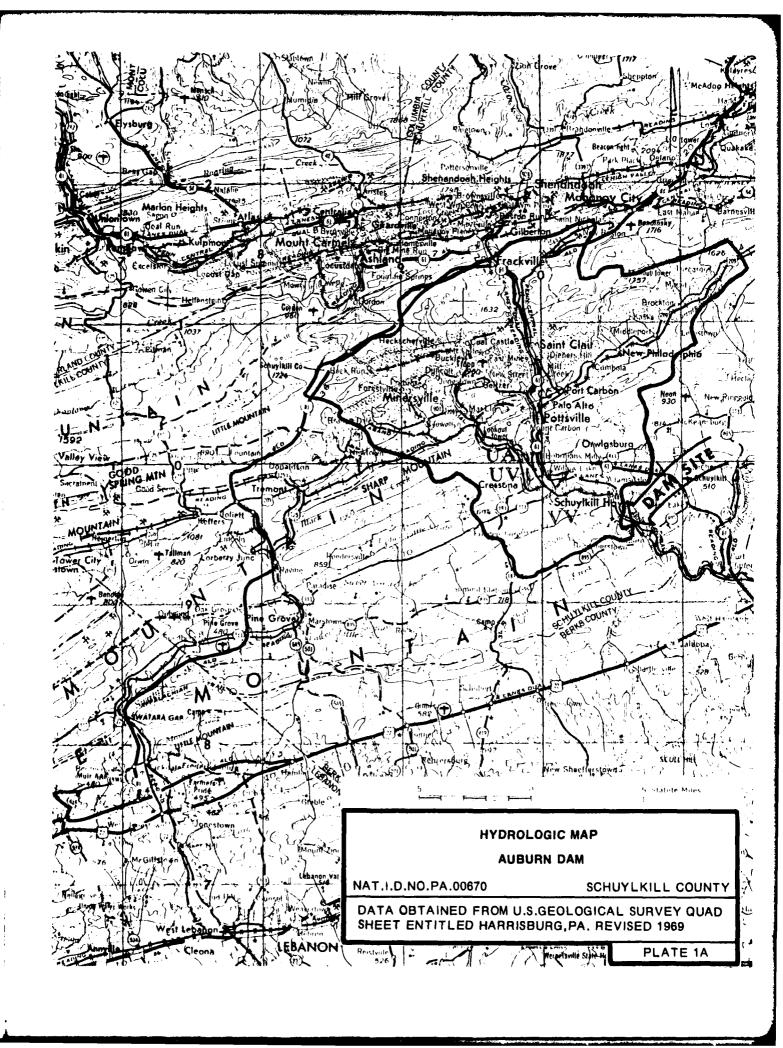
SUMMARY OF DAM SAFETY ANALYSIS

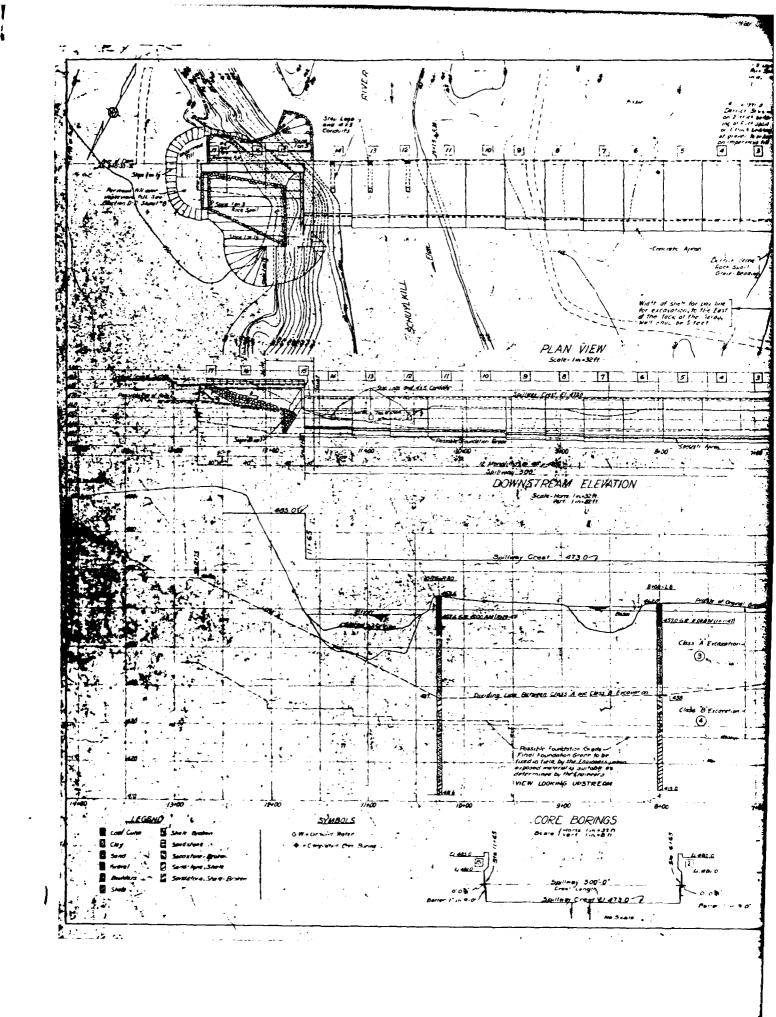
OF DAM 485.20 2624. Flood water 82670.storage only	TIME OF TIME OF MAX OUTFLOW FAILURE HOURS	47.00 0.00					
401	DUKATION OVEK TOP N HOURS	0.00	5.00	DS1	TIME	47.00	
SFILLWAY CREST 473.00 0.	NAXINUN OUTFLOU CFS	46623. 74608.	84042. 93408.	STATION DO	MAXIMUM STAGE,FT	4.50.4	1
	MAXIMUM STURAGE AC-FT	1733.	2656. 2863.	PLAM 1 S	HAXINUM FLOW, CFS	46609.	
INITIAL VALUE 473.00 0.	MAXIMUM DEPTH OVER DAM	0.00	. 12	귤	KATIO	8.	
ELEVATION Storage Outflou	MAXÍNUM RESERVOIR U.S.ELEV	481.50 484.43	485.32				
	RA110 OF PMF	. 50 80	1.00				

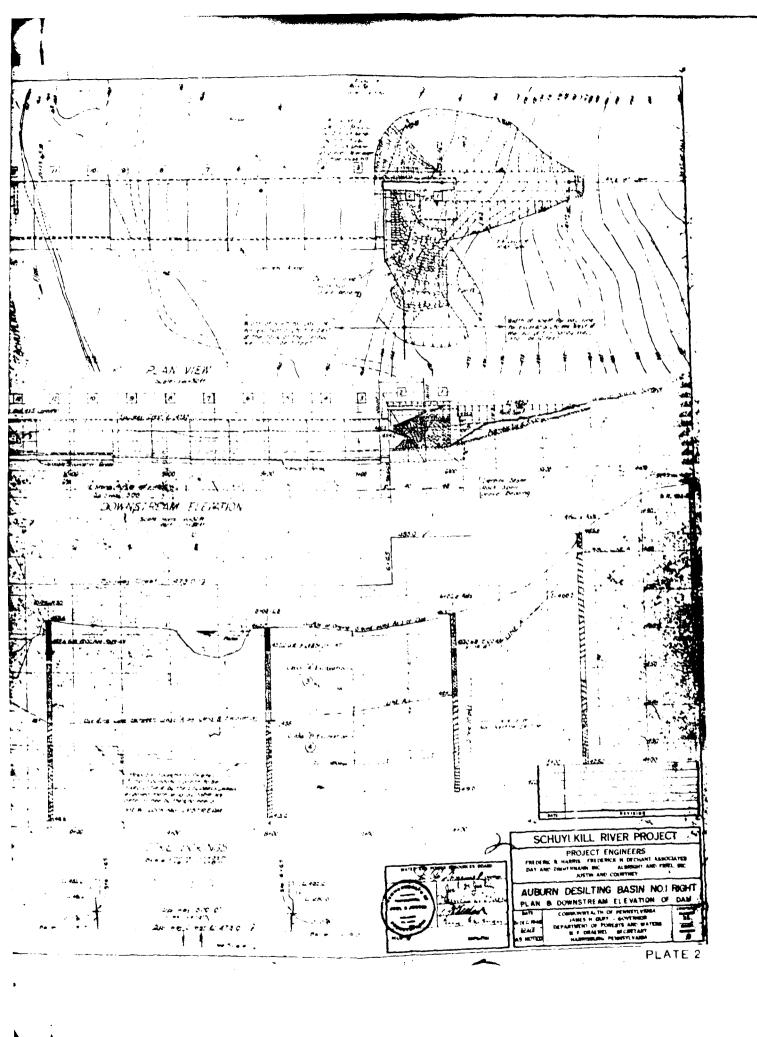
APPENDIX

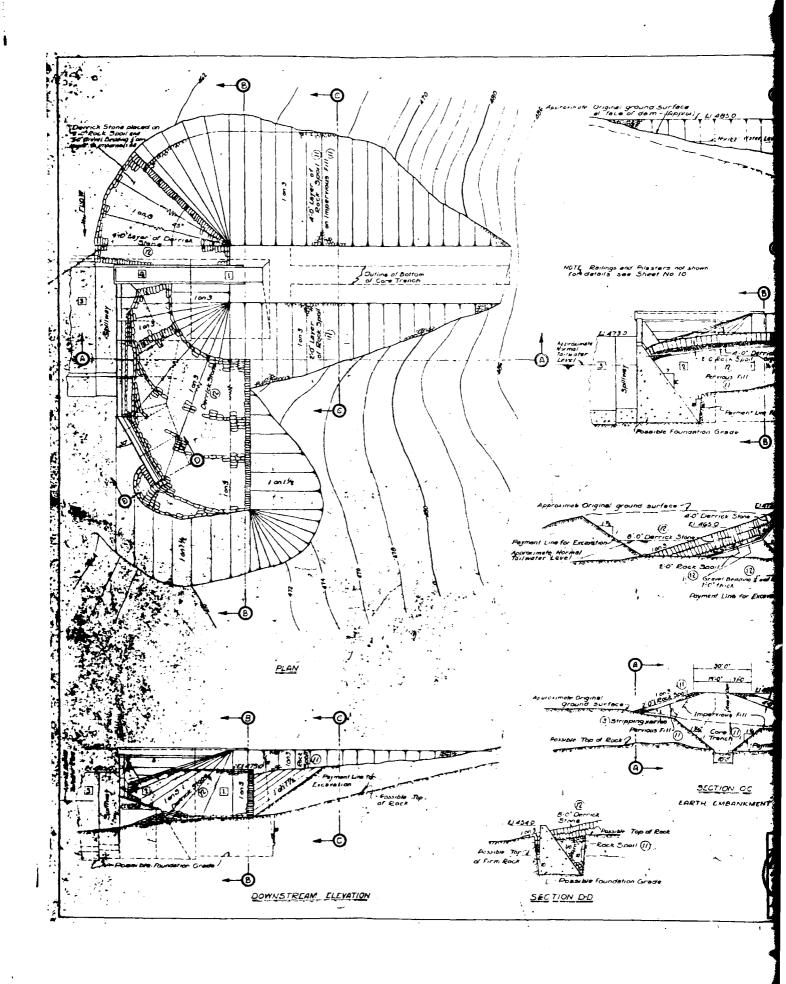
E

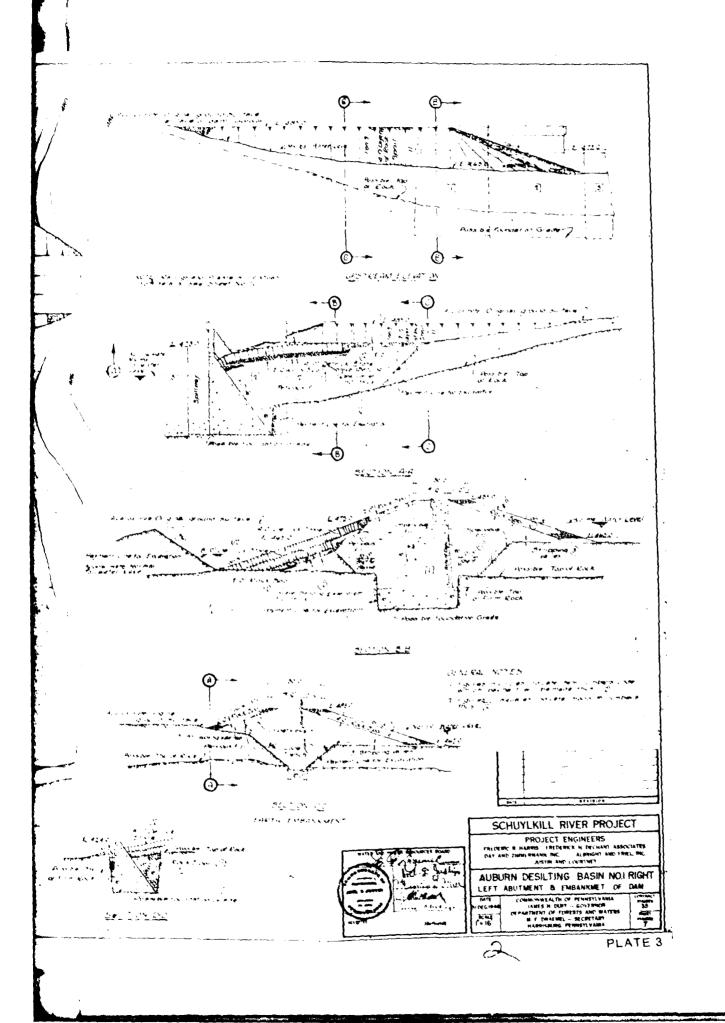


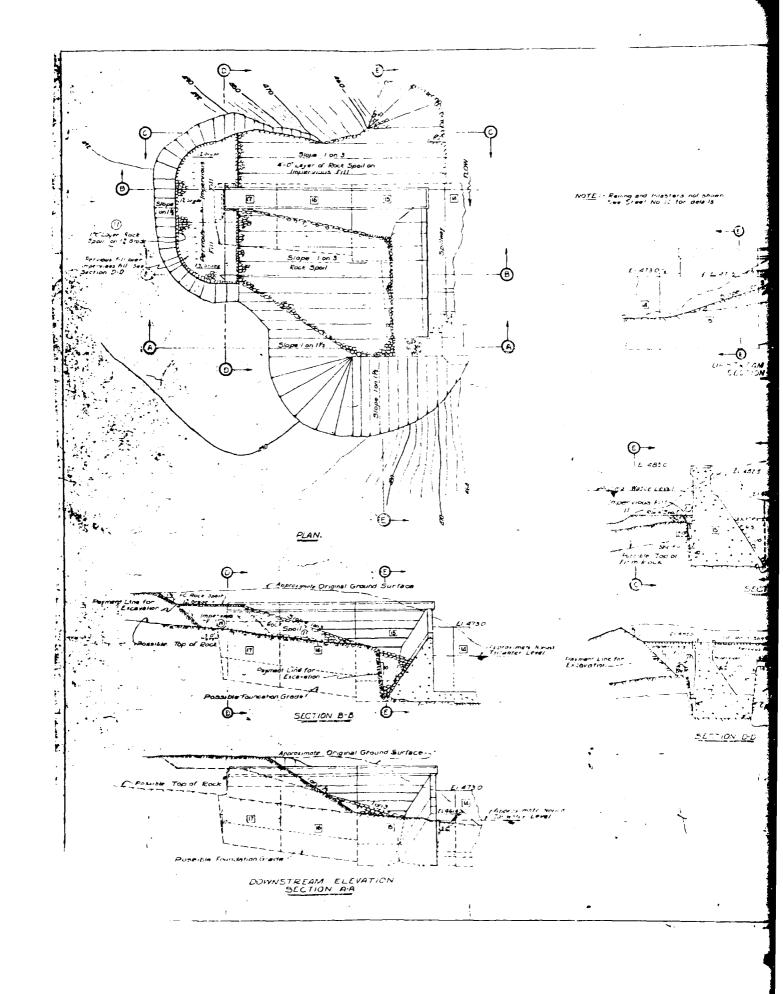


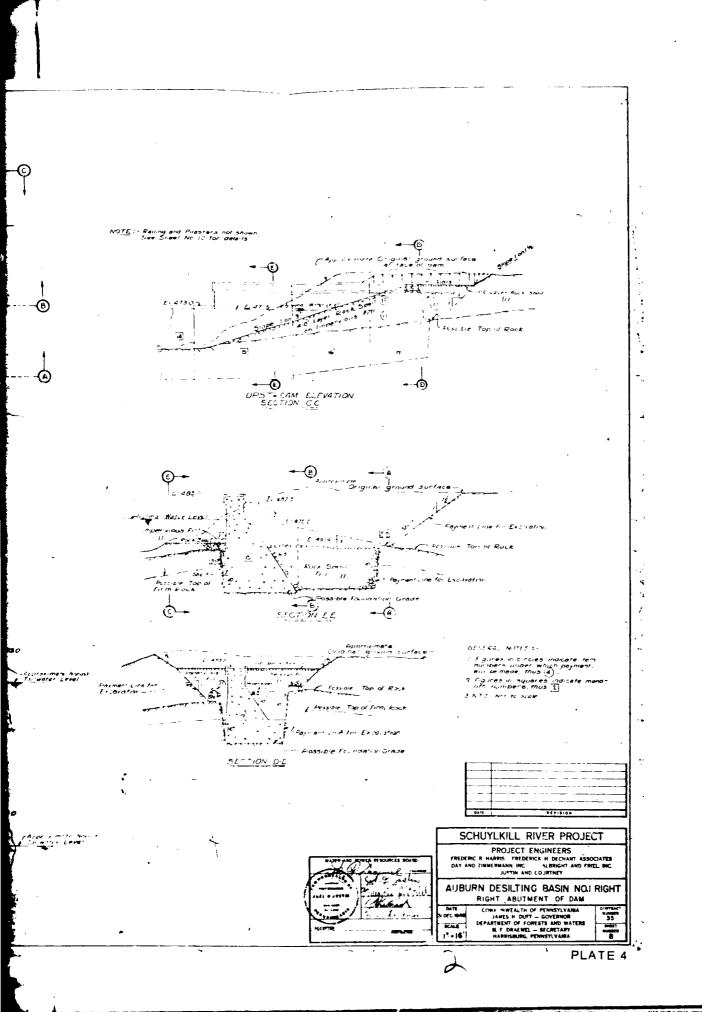


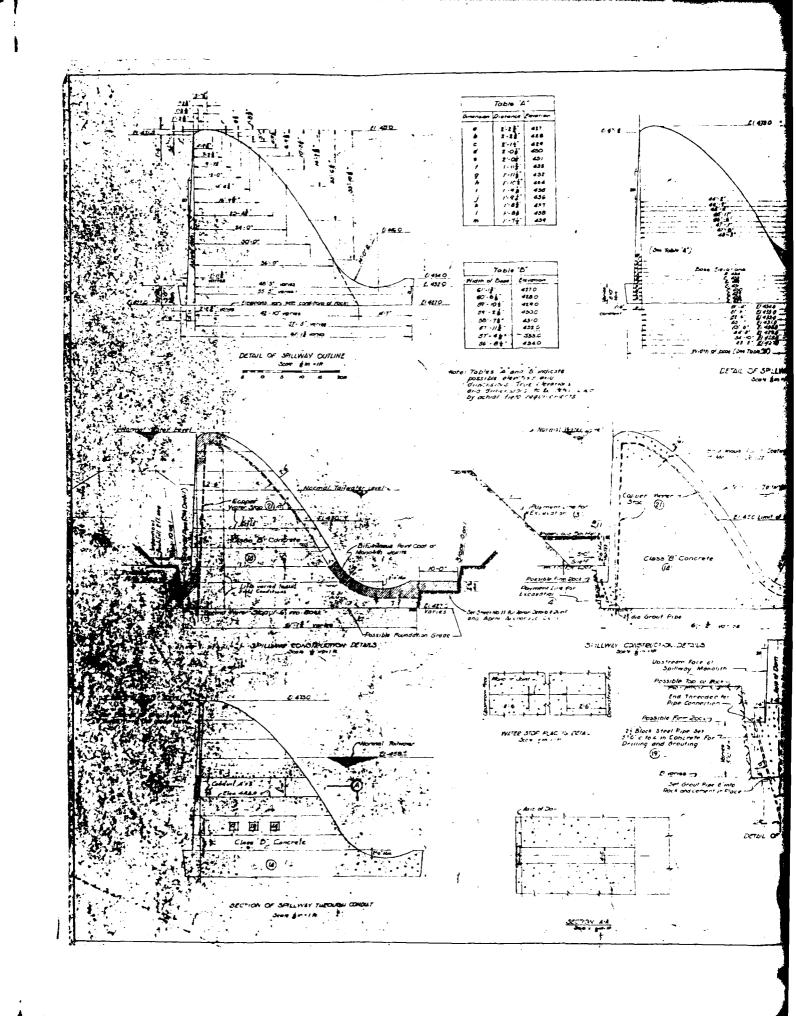


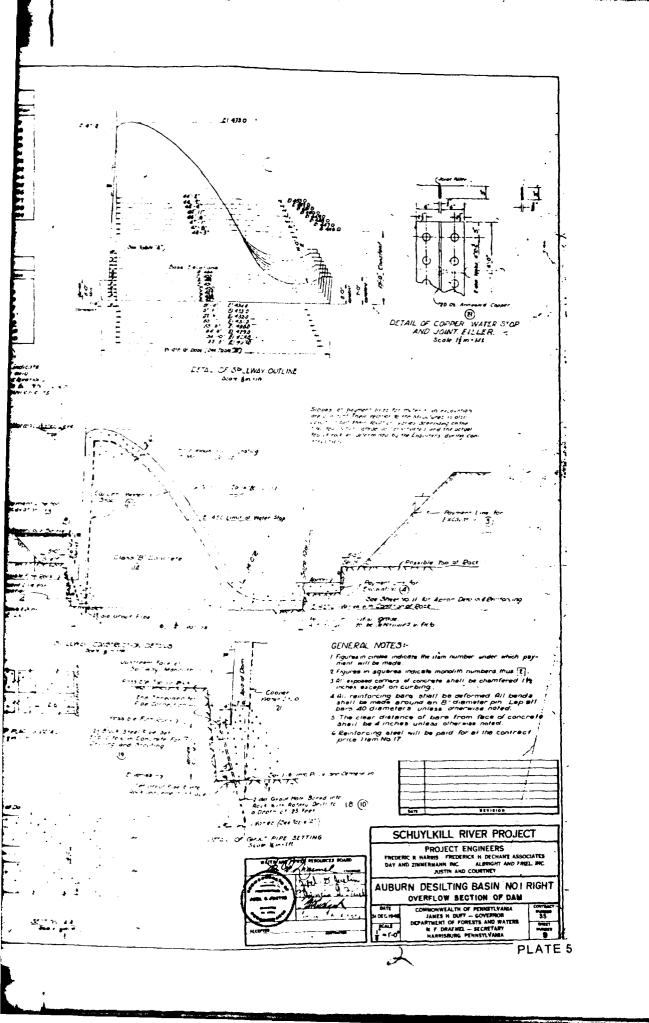


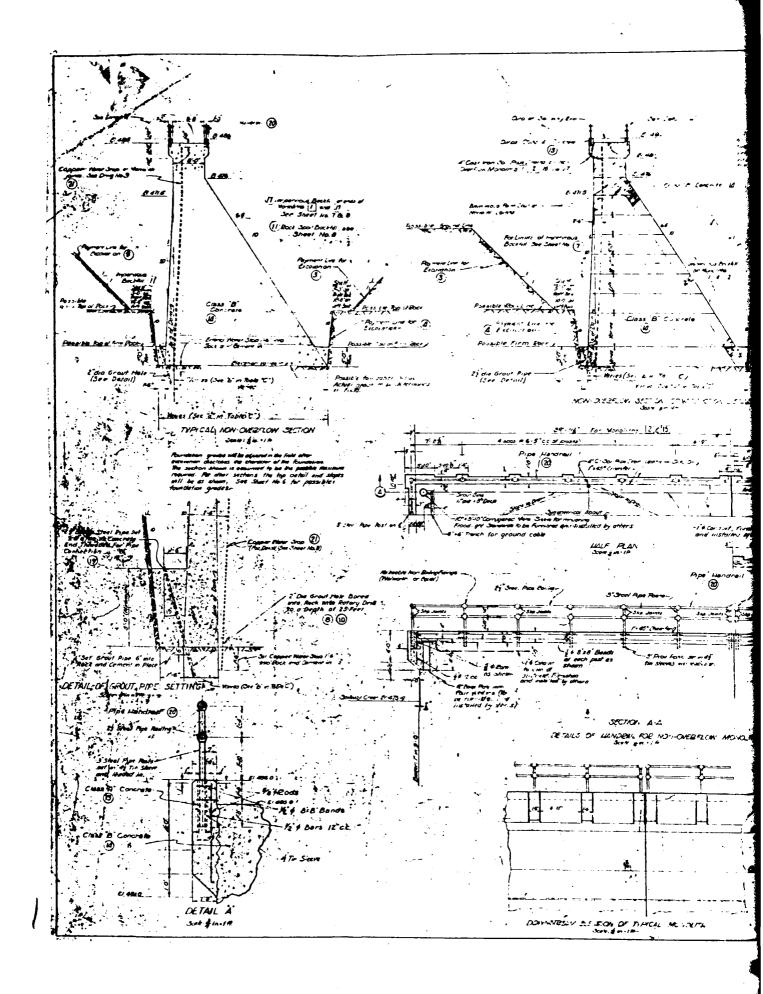


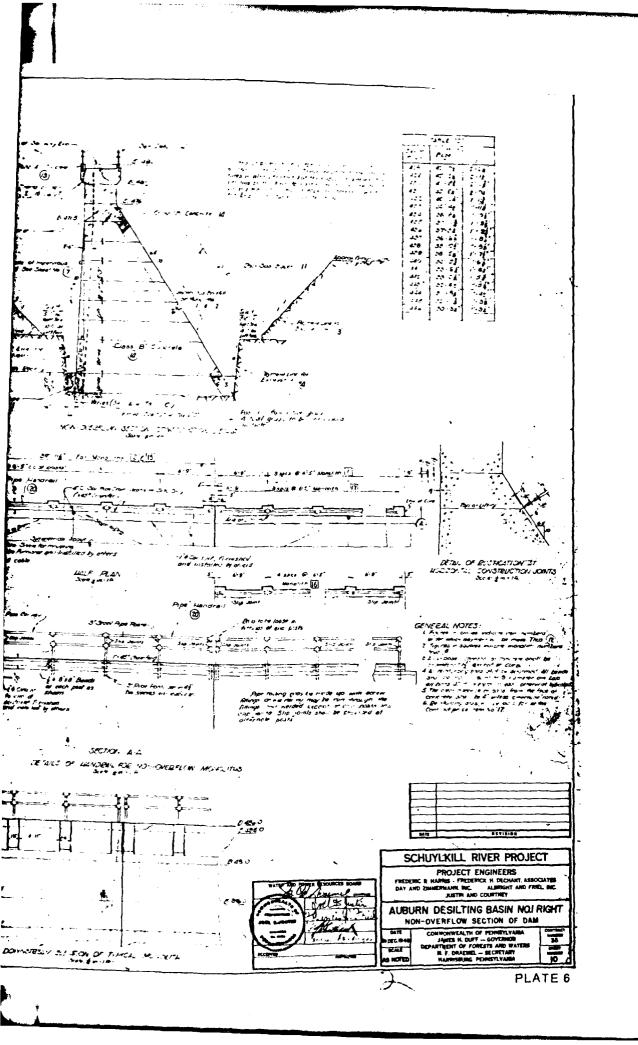


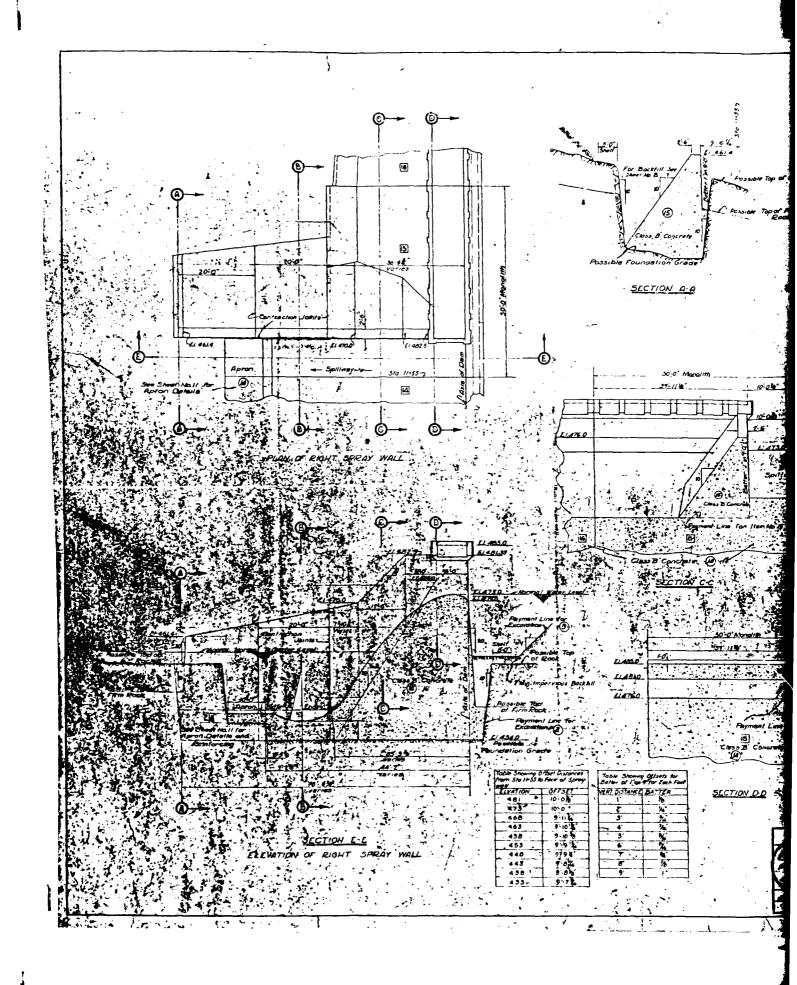


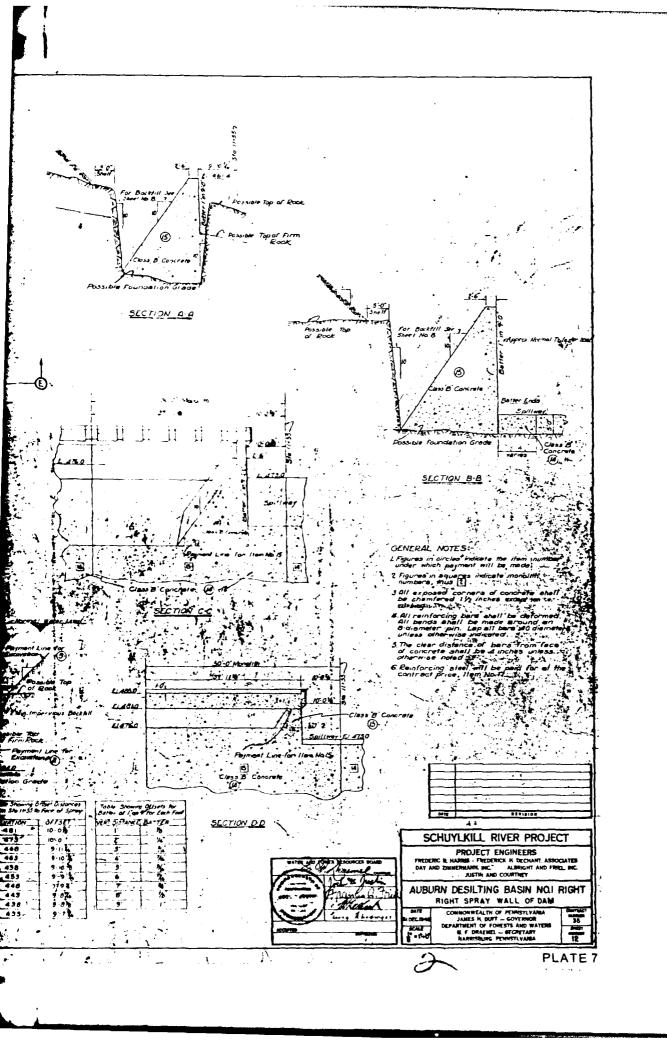


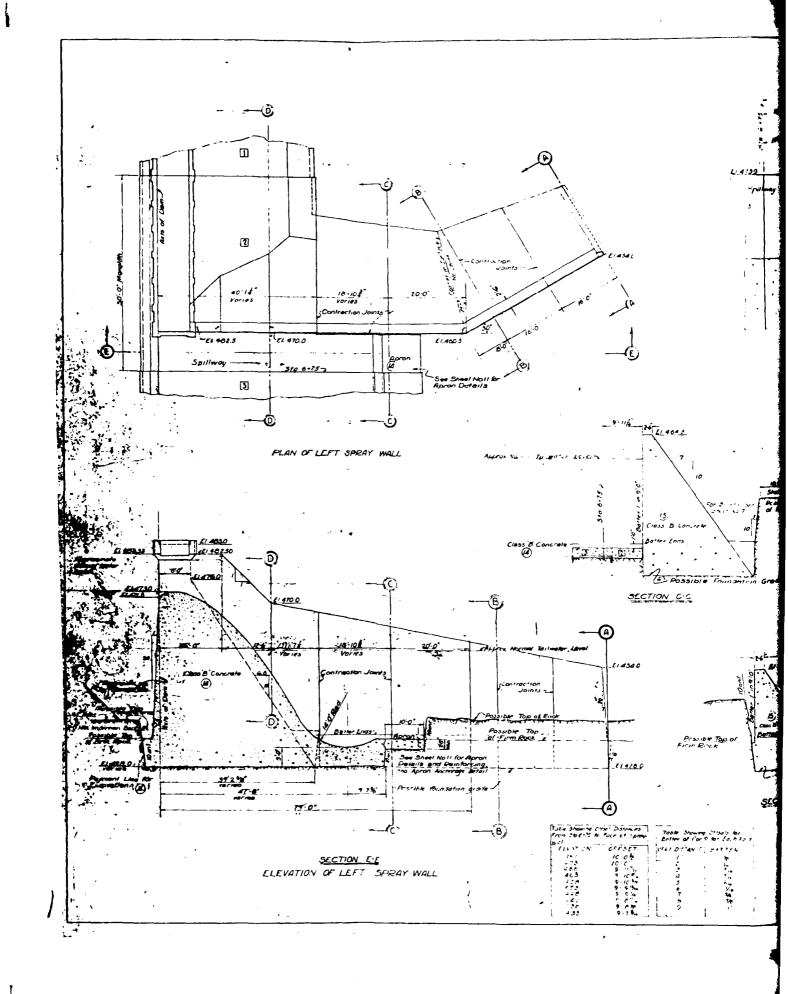


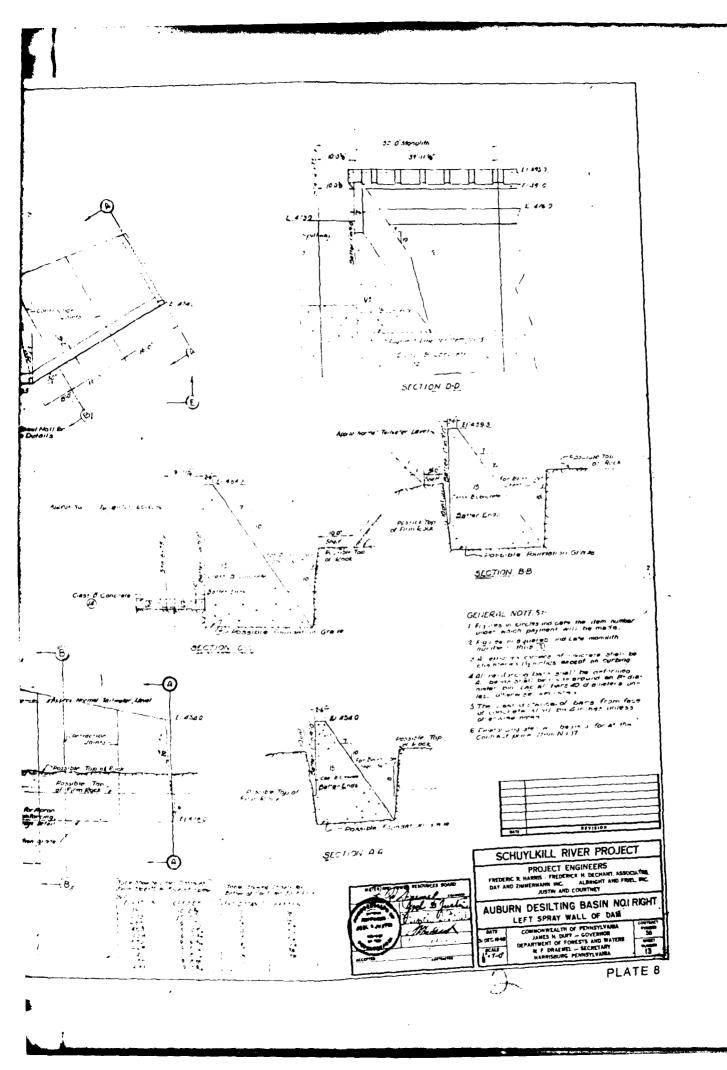


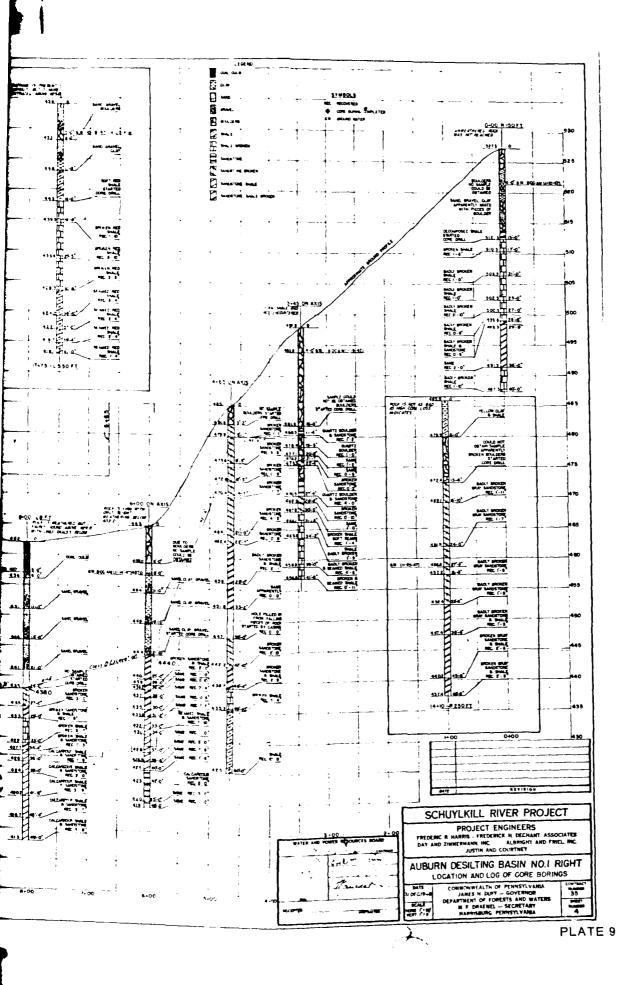










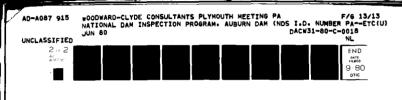


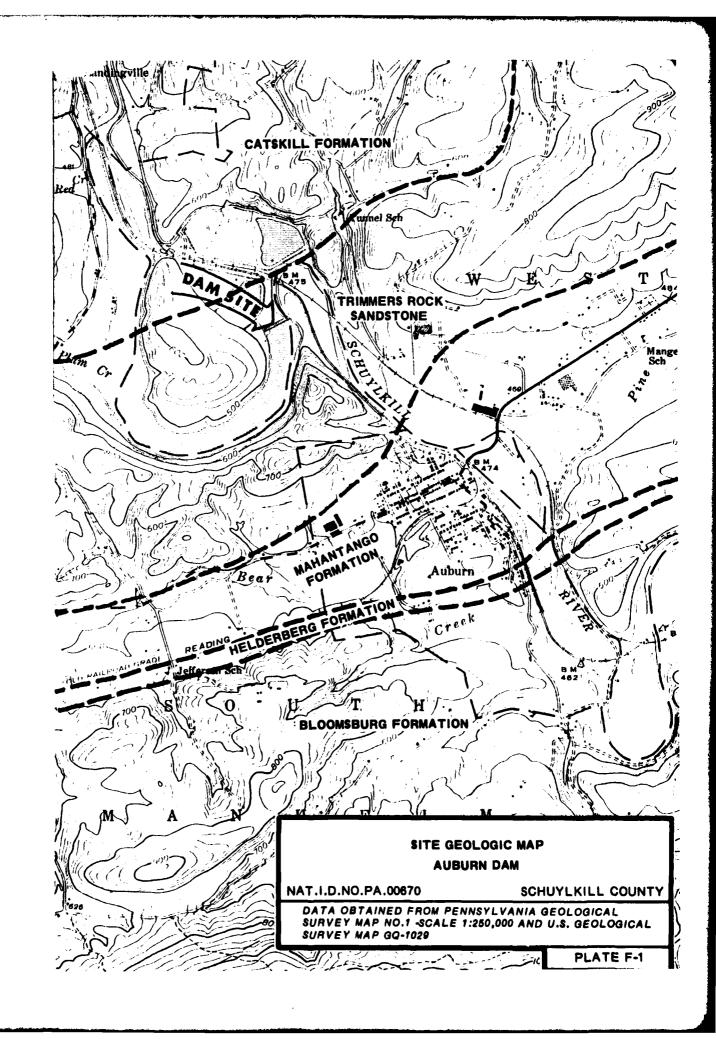
APPENDIX

F

#### SITE GEOLOGY AUBURN DAM

Auburn Dam is located within the Appalachian Mountain Section of the Valley and Ridge Physiographic Province. As shown on Plate F-1, the dam is constructed upon the Trimmers Rock Sandstone Formation of Upper Devonian age. This area is situated upon the southern limb of a regional northnortheast trending syncline which crosses much of southern Schuylkill County. Bedrock is exposed on both the upstream and downstream sides of the right abutment. This exposure consists of tan-brown fine grained sandstone with siltstone interbeds and red-brown shale. Bedding strikes to the eastnortheast, subparallel to the dam axis, and dips downstream approximately 35 degrees to the north. The shale is fissile and slakes, but the sandstone which dips under the right abutment is sound. Information contained in the Schuylkill River Project report of 1951, states that the dam is founded upon a "dike of sandstone" approximately 60 feet ide, which strikes parallel to the dam alignment. Βedrock jointing strikes to the northwest (nearly parallel to the dam axis) and dips nearly vertical. No bedrock exposures were observed at the left abutment area.





**APPENDIX** 

G

Woodward-Clyde Consultants	Page / of 7			
Consulting Engineers, Geologists and Environmental Scientists	Project: AUBURN DAM	Job No./File No. 79c 0/167 -19		
System:		Calculator AMD Date 5/30/90		
Calculation for: STABILITY		Reviewer HFB  Date 6/3/80		

AUBURN DAM - STABILITY ANALYSIS

#### ASSUMPTIONS:

UNIT WEIGHT OF CONCRETE = 150 PCF V

UNIT WEIGHT OF WATER = 62.4 PCF V

SUBMERGED WEIGHT OF SILT = 40 PCF V

EARTH PRESSURE CORFFICIENT OF SILT = 0.5 V

COMPRESSIVE STRENGTH OF ROCK = 0.7 V

COMPRESSIVE STRENGTH OF ROCK = 600 KSF V

ALLOWABLE CONCRETE SHEAR STRENGTH = 55 PSIV

FOR CONSERVATISM AND SIMPLICITY, NEGLECT UPSTREAM

BATTER OF OVERROW AND NON-OVERFLOW SECTIONS.

DETERMINE RESISTANCE OF DAM TO OVERTURNING AND
SLIDING FOR CONDITION OF FULL POOL AND
FULL SILT LOAD TO TOP OF SPILLWAY. NEGLECT
TAILWATER

Woodward-Clyde Consultants	Owner:	Page 2 of 7
Consulting Engineers, Geologists and Environmental Scientists	Project: AUBURN DAM	Job No./File No. 79co//67-/9
System:		Calculator AND Date 5/30/80
Calculation for: STABIL 114		Reviewer MFR Date 6/3/80
OVERROW SECTION:	W,   W2   V   V   V   V   V   V   V   V   V	51 432 ) 51 432 ) 51 27
Wz :	(0.150)(10)(46) = 69.0 KIPS ½ (0.150)(33)(46) = 1/3.8 KIPS	
	(12)(0.0624)(46) = 34.4 KIP. 12(0.0624)(46) = 66.0 KIP	
	12 (0.50)(0.040)(41)22 /6.8 KIA	
i e	= (0.0624)(58)(43) = 77.8 KI	

Owner: Page 3 of 7 **Woodward-Clyde Consultants** Consulting Engineers, Geologists Project: Job No./File No. AUBURN DAM and Environmental Scientists 79001167-19 System: 5/30/00 Calculation for: STABILITY REVISED 6/34/80

AHD -

 $\sum_{TOE} = (34.4)(23) + (66.0)(\frac{46}{3}) + (72.6)(\frac{2}{3})(43) + (6.8)(18.67)$ = 791. 2 + 1012.0 + 2230.3 + 313.6 = 4347.1 FT-KIPS

ZMTOE = (65.0)(38) + (113.8)(2)(33) = 26220+ 2503.6 = 5125.6 FT-KIPS

F.S. or = 4347.1 = 1.18 OK FOR EXTREME LUBBING

ΣM<sub>TOE</sub> = 5/25.6 - 4347.1 = 779.5 KIPS

ZVERT = W, + WZ - U = 69.0 + 1/3.8 - 77.8 = 105.0 K

e = \frac{\xi M}{\xi V\_{\text{s}}} \frac{778.5}{105.0} = 7.41 FT FROM TOE

43 = 3 = 14.33 FT > 7.41 FT

.. RESULTANT IS OUTSIDE OF MIDDLE THIRD

Woodward-Clyde Consultants	Page 4 of 7				
Consulting Engineers. Geologists and Environmental Scientists	Project: HUBURN DAM	Job No./File No. 79 6 0 1/6 7 - 19			
System:		Calculator AMD Date 6/30/80			
Calculation for: STABILITY		Reviewer MFB Date 6/30/80			

TOE PRESSURE: 3. 4: 3. 1.5.0. 9.45 KSF

ALTHOUGH RESULTANT FALLS OUTSIDE OF MIDDLE
THIRD OF BASE, THE TOE PRESSURE IS NOT
EXCESSIVE FOR ROCK.

SHEAR AT ELEV. 432:  

$$V_{432} = \frac{1}{2}(0.0624)(53)^{2} - \frac{1}{2}(0.0624)(12)^{2} = 83.1 \text{ kips}$$

$$N_{max} = \frac{3}{2} \frac{V}{6L} = \frac{3}{2} \left(\frac{83.1 + 16.8}{1 \times 35.5}\right) = \frac{3.79 \text{ ksf}}{26.4 \text{ psc}} < 55 \text{ psi} \quad OK$$

SLIDING:

HSSUME ROCK SHEAR STRENGTH IS 7% OF

F.S. = 
$$\frac{\sum V_{ERT} ton \phi + cA + 2cD}{V_1 + V_2 + S}$$

$$= \frac{(69.0 + 113.8 - 77.8)(0.7) + (0.07)(600)(43) + (2)(0.07)(600)(5)}{34.4 + 66.0 + 16.8}$$

$$= \frac{73.5 + 18.6 + 426}{117.2}$$

Owner: Page 6 of 7 Woodward-Clyde Consultants Consulting Engineers, Geologists Job No./File No. Project: and Environmental Scientists AUBURN DAM 79001167-19 System: Calculation for: STABILITY 18458 B 6/30/80

$$\sum_{N_{T0E}} = \frac{1}{3}(58)(105.0) + \left[\frac{1}{3}(41) + 5\right](16.8) + \frac{2}{3}(40)(72.4)$$

$$= 2030.0 + 3/3.6 + 1930.7$$

$$= 4274.3 \quad FT - KIPS -$$

CONDITIONS AND CONSERVATIVE ACSUMPTIONS

.. RESULTANT IS OUTSIDE OF MIDDLE THIRD

### Owner: Page 5 of 7 **Woodward-Clyde Consultants** Consulting Engineers, Geologists Job No./File No. Project: and Environmental Scientists AUBURN DAM 79001167-19 Calculator AHD Date 5/30/80 System: Calculation for: STABILITY NON -OVERFLOW SECTION: EL. 4737 W, = (2150)(8)(58) = 69.6 KIPS -Wz = 1 (0.150) (47) (32) = 117.6 KIPS V = £ (0.0624) (50) = 105.0 KIPS -5 = \frac{1}{2} \left( 0.50 \left) \left( 41 \right)^2 = 16.8 KIPS U = = (0.0624)(58)(40) = 72.4 KIPS

Woodward-Clyde Consultants	Owner:	Page 7 of 7
Consulting Engineers, Geologists and Environmental Scientists	Project: AUBURN DAM	Job No./File No. 79 c o // 67 - / 9
System:	<del></del>	Calculator AND Date 6/30/80
Calculation for: STABILITY		Reviewer MFB Date G/30/80

TOE PRESSURE = 3 VERT : 3 114.8 - 11.9 KSF

ALTHOUGH RESULTANT FALLS OUTSIDE OF MIDDLE
THIRD OF BASE, THE TOE PRESSURE IS NOT
EXCESSIVE FOR ROCK.

SLIDING:

ASSUME ROCK SHEAR STRENGTH IS 7 % OF

F.S. = 
$$\frac{\text{I Vent tan } \phi + cA + 2cD}{V + S}$$

$$= \frac{(69.6 + 117.6 - 77.4)(0.7) + (0.07)(6 - 0)(40) + (2)(0.07)(6 - 0)(5)}{105.0 + 16.8}$$

$$= \frac{80.36 + 1680 + 420}{121.8}$$

= 17.9 OK

